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**Introduction**

The Soil Association standards put the principles of organic production into practice. These organic standards encompass EU Regulations 834/2007, 889/2008 and 1235/2008 (referenced throughout as the EU Organic Regulation). These regulations are the legal basis for the control of organic farming and food processing in Europe and regulate how the word ‘organic’ can be used.

The Soil Association has higher organic standards than required by the EU Organic Regulation in key areas: delivering the highest levels of animal welfare, protecting human and animal health, safeguarding the environment and protecting the interests of organic consumers. These reflect our mission and vision as a charitable organisation.

Each standard has a reference which tells you which part of the EU Organic Regulation it refers to, or whether it is a Soil Association higher standard. Each Soil Association higher standard is accompanied by a ‘Why?’ box which explains the rationale behind the standard and why we expect our licensees to go further than required by the EU Organic Regulation.

Businesses across the world can become certified to the Soil Association standards. A ‘competent authority’ is authorised by EU Member States to make rulings on organic legislation. In the UK the competent authority is usually Defra or one of its devolved agencies who have delegated some controls to accredited organic certification bodies. The certification body that is appointed by the Soil Association to inspect and certify to Soil Association organic standards in the UK is Soil Association Certification. Throughout these standards ‘your certification body’ refers to Soil Association Certification. For further definitions, please refer to the separate Glossary document on our website.

The EU Organic Regulation does not cover processing of non-food crops such as for textiles and cosmetic products and certification of inputs.

The Soil Association offers standards for areas not covered by the EU Organic Regulation. These include:

- textiles
- cosmetics

Please contact us if you would like more information or visit [our website](#).
# Guide to using these standards

The standards are listed in the column on the left, with a white background for EU Organic Regulation standards and a blue background for Soil Association higher standards. Where necessary, guidance is provided in the column on the right, with a grey background to differentiate it from the standard.

- Each standard is referenced with the relevant article/s of the EU Organic Regulation, or shows that it is a Soil Association higher standard.
- Each Soil Association higher standard has a Why? box to explain its purpose and rationale.

![Record Keeping Symbol](image)

This symbol shows where you need to keep a record to demonstrate that you are meeting the standard. The specific requirements for the records will be detailed in the standard or guidance.

![Additional Information Symbol](image)

This symbol shows where additional relevant information is provided.

![Sourcing Symbol](image)

This symbol shows where an extra sourcing requirement applies for processors using an organic product that is not certified to Soil Association standards or is sourced outside of the UK/EU. If you would like to know what the sourcing requirements are, you can view our annex on Sourcing Organic Ingredients. Our Working Together for Better Sourcing webpage explains the challenges surrounding the sourcing of organic ingredients and how we are working with others to address them.

## What is guidance?

Guidance provides supplementary information to the standards which explains how compliance will be assessed. It tells you where and how to provide the information required, for example through record keeping or demonstration at your inspection. The guidance may also provide examples of actions and measures to help you demonstrate compliance, and links to best practice guides and information.
### 13.5.5 Aeration and oxygen use

1. You may use aeration to ensure animal health, using aerators preferably powered by renewable energy sources where possible.

2. You may use oxygen only for animal health requirements and critical periods of production or transport in the following cases:
   a) Exceptional cases of temperature rise
   b) Fall in atmospheric pressure
   c) Accidental pollution
   d) Occasional stock management procedures such as sampling and sorting
   e) In order to assure the survival of farmed aquaculture livestock.

In your aquaculture management plan, detail under what circumstances aeration is, or would be used and the reasons why. If non-renewable energy sources are used explain why renewable sources cannot be used.

You must record the use of aeration and oxygen in your production records.

The relevant part of the EU Organic Regulation is referenced here.

(EC) 889/2008 Art.25h (3)(4)
<table>
<thead>
<tr>
<th><strong>EXAMPLE Standards</strong></th>
<th><strong>EXAMPLE Guidance</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>12.1.1 Scope of the standards</strong>&lt;br&gt;These standards apply to packaging of products that you introduce into the supply chain.</td>
<td>Keep in mind that you must make sure that your packaging meets all relevant legislation relating to packaging, packaging waste and materials in contact with food. These include, but are not limited to: the European Parliament and Council Directive on Packaging and Packaging Waste (94/62/EC) the European Standard for Compostable Packaging (EN13432) – if you are using compostable or biodegradable packaging.</td>
</tr>
<tr>
<td>We define packaging as all primary (retail), secondary (grouping, display) and tertiary (transport) materials used for: containing protecting preserving handling storage delivery labelling marketing, and presentation of your products.</td>
<td>Information claims and symbols on your packaging need to be clear, truthful and accurate. In the UK, you will need to make sure your packaging conforms to Defra’s Green Claims code.</td>
</tr>
<tr>
<td>Note - we include bulk bins but not transport pallets in this definition.</td>
<td>Soil Association higher standards are clearly shown.</td>
</tr>
</tbody>
</table>

The production, use and disposal of packaging can have a big impact on the environment. Each Soil Association higher standard has a Why? box to explain its purpose and rationale.
### 12.0 General standards for organic aquaculture

#### 12.1 Scope

**Standards**

<table>
<thead>
<tr>
<th><strong>12.1.1 Scope of the standards</strong></th>
<th><strong>Guidance</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>These standards apply to species of fish, crustaceans, echinoderms and molluscs. They can be applied with the necessary modifications to zooplankton, micro crustaceans, rotifers, worms and other aquatic feed animals but you must contact Soil Association Certification if you want to use them for these species.</td>
<td>If you are producing aquaculture animals which are not under this scope please contact us. We also have separate standards for organic seaweed and algae production.</td>
</tr>
</tbody>
</table>

**(EC) 889/2008 Art.2(b): Art. 25a**

<table>
<thead>
<tr>
<th><strong>12.1.2 Products from hunting and fishing of wild animals</strong></th>
<th><strong>Guidance</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Products from the hunting and fishing of wild animals cannot be sold as organic.</td>
<td><em>(EC) 834/2007 Art.1(2)</em></td>
</tr>
</tbody>
</table>

### 12.2 Principles of organic aquaculture

**What is this chapter about?**

This section details the principles on which these organic standards are based. Organic is a ‘whole system’ approach to farming and food production. It recognises the close interrelationships between all parts of the production system from the aquatic environment to the consumer. This comprehensive set of organic principles guides our work and our standards.

<table>
<thead>
<tr>
<th><strong>12.2.1 Principles of organic production</strong></th>
<th><strong>Guidance</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>An organic production system must meet the following principles and objectives:</td>
<td></td>
</tr>
<tr>
<td>1. Appropriate design and management of biological processes based on ecological systems.</td>
<td></td>
</tr>
<tr>
<td>2. Using living organisms and mechanical production methods.</td>
<td></td>
</tr>
<tr>
<td>3. Using natural resources internal to the system.</td>
<td></td>
</tr>
<tr>
<td>4. Sustainable exploitation of fisheries.</td>
<td></td>
</tr>
<tr>
<td>5. Using preventative and precautionary measures and risk assessment when appropriate.</td>
<td></td>
</tr>
</tbody>
</table>
6. The design and management of organic systems which makes the best use of natural resources and ecology to prevent the need for external inputs.

7. Where this fails or where external inputs are required, the use of external inputs is limited to organic or natural or naturally-derived substances.

8. To limit the use of chemically synthesised inputs to situations where appropriate alternative management practices do not exist, or natural or organic inputs are not available, or where alternative inputs would contribute to unacceptable environmental impacts.

9. The exclusion of genetically modified organisms (GMOs) and products produced from or by GMOs with the exception of veterinary medicinal products.

10. The respect of regional, environmental, climatic and geographic differences and appropriate practices that have evolved in response to them.

(EC) 834/2007 Art. 4

12.2.2 Specific principles for organic aquaculture

In addition to the overall organic principles set out in standard 12.2.1, organic aquaculture production must be based on the following specific principles:

1. The observance of a high level of animal welfare respecting species-specific needs

2. The production of products of organic livestock from animals that have been raised on organic holdings since birth or hatching and throughout their life

3. The continuing health of the aquatic environment and the quality of surrounding aquatic and terrestrial ecosystems

4. Feeding of aquatic organisms with feed from sustainable exploitation of fisheries as defined by Art 3 Regulation 2371/2002 Conservation and sustainable exploitation of fisheries resources under Common Fisheries Policy, or with organic feed made of agricultural ingredients from organic farming and natural non-agricultural substances.

(EC) 834/2007 Art. 5
## 12.3 Becoming Soil Association certified

### What is this chapter about?
This chapter explains which activities require certification and how you can certify your business to the Soil Association standards.

### Standards

#### 12.3.1 Certifying your business
To become certified to these organic standards you must have a certification contract with an independent, accredited certification body and comply with all relevant organic standards for your organic activity.

*(EC) 834/2007 Art. 27(1)(4); Art. 28(1)*

Businesses across the world can become certified to the Soil Association standards. In the UK, Defra is the competent authority and has delegated some control tasks to accredited organic certification bodies. The certification body that is appointed by the Soil Association to inspect and certify to Soil Association organic standards in the UK is Soil Association Certification.

In the EU, businesses can only become certified to the Soil Association standards if they are already certified to the EU Organic Regulation by another approved certification body in the relevant country. Additionally, Soil Association Certification is accredited by IOAS (International Organic Accreditation Service) and authorised to offer organic certification in specific countries outside the EU for certain types of products. Please contact Soil Association Certification for more details.

#### 12.3.2 Activities that require certification

1. In the EU all stages of the organic supply chain must hold organic certification.
2. Your business must be certified if you produce, process, package, store, label, import or export, include wholesaling, storage and warehousing, acting as the first consignee for imported products and any other activities that require the physical or financial ownership of organic products or ingredients.
3. In the UK you do not need certification if you only sell organic products directly to the final consumer or user provided that you do not produce, prepare, store organic products other than in relation to the point of sale or import such products from outside the EU or have not contracted out such activities. In other EU countries certification may be required for these activities.

*(EC) 834/2007 Art. 27(3); Art. 28(1); Art. 28(2)*

Without adequate certification at each stage of the supply chain, the products may lose their organic status.

Examples of businesses not requiring certification in the UK include supermarkets and mass caterers serving food e.g. restaurants, cafes, catering companies.

If you are unsure whether the activity you are carrying out requires certification please [contact us](#).

For more information on the certification requirements for importing and exporting please refer to the Soil Association Food and Drink standards, standard 6.8.
12.3.3 Organic certificate

1. You are not allowed to sell products with the Soil Association symbol or with reference to organic without a valid certificate that shows that your activity complies with these organic standards.

2. Certificates are issued once Soil Association Certification has inspected your organic activity and they are satisfied that your activity meets organic standards. The certificate will list all your certified activities and the crops, livestock and/or products you are certified to produce, process and/or sell as organic.

3. The certificate may be in electronic format.

(EC) 834/2007 Art. 29(1)(3)
(EC) 889/2008 Art. 63(1)(d); Art. 68

Soil Association Certification will issue licensees with the following documentation:
- An annual certificate with valid from and to dates, your name, address and licence number
- A Trading Schedule with your certified products, activities and status
- For producers, an Information Schedule listing your licensed enterprises, holdings and fields.

If you are a farmer with land or crops in conversion, these will be shown as ‘in-conversion’ on your Trading Schedule. Once they have gone through the relevant conversion period they will be shown as ‘organic’ on your Trading Schedule and you can start trading as organic. If your livestock are shown as ‘converted breeding stock’ they cannot be traded as organic.

Annual renewal of your licence is linked to you continuing to meet the relevant standards and payment of the relevant renewal fee. Within a year of your original application date we will send you a renewal invoice.

Soil Association Certification

Since 1973 Soil Association Certification Limited (Soil Association Certification) has certified farm enterprises, foods and other products as organic. Soil Association Certification is a wholly owned subsidiary of the Soil Association charity. We are registered with Defra to certify organic food production and processing under the terms of EU Regulation No. 834/2007.

Certification bodies must be able to prove that they have the expertise, equipment, infrastructure and sufficient number of suitable qualified and experienced staff to carry out the task of certification. Soil Association Certification Limited is accredited and subject to an annual inspection by the United Kingdom Accreditation Service (UKAS) for UK licensees and IOAS for non-EU licensees.

To uphold organic integrity and in order to work efficiently, certification bodies are obliged to communicate and exchange relevant certification information about their licensees to control authorities and other certification bodies. This includes when:
- licenses change certification bodies
- non-compliances are found
- organic status of a product is lost, and
- certification is withdrawn.
### 12.4 Your obligations when certified

#### What is this chapter about?
This chapter explains your responsibilities and obligations when certified to these organic standards.

#### Standards

##### 12.4.1 Description of your activities

1. Before starting your organic enterprise, you must describe how you will comply with these organic standards. If you make any changes to your activity you must update your certification body accordingly.
2. You must include a full description of your premises, units and activities including:
   - a) a full description of the installations on land and at sea
   - b) facilities used for the receipt of goods, processing, packaging, labelling and storage
   - c) procedures used for transporting aquaculture animals and products
   - d) the environmental assessment as outlined in standard 12.7.1.
   - e) the sustainable management plan as outlined in standard 12.7.2.
   
   *(EC) 889/2008 Art. 63; Art. 64; Art. 79a; Art. 80*

Some of this information will be collected as part of the application process.

You must let us know if and when you plan to expand into new areas. For example, if you wish to add land, keep new livestock species or enterprises, or start a box scheme or start to pack or process food or feed. Depending on what changes are made, we might need to update your certificates and you may need an additional inspection or licence.

##### 12.4.2 Contracted operations

If you contract out your organic activity, in part or whole, to a third party, the information in 12.4.1 must also include:

- a) a list of the subcontractors, including their activities and the certification body or authority that they are certified by

This would include contractors used for agricultural work, such as harvesting, spraying, seed cleaning or storage.

---

**Information**

If you are interested in certifying your business, contact Soil Association Certification via:

**Our website:** [www.soilassociation.org/certification/get-in-touch/](http://www.soilassociation.org/certification/get-in-touch/)

**Email:** GoOrganic@soilassociation.org

**Phone:** 0300 330 0100

**Post:** Soil Association Certification, Spear House, 51 Victoria Street, Bristol, BS1 6AD
b) a written agreement by the subcontractors that their operation will comply with the control measures required as part of organic certification, and
c) details of all the practical measures taken to ensure and demonstrate full traceability of products.

(EC) 834/2007 Art. 28(1)
(EC) 889/2008 Art. 86

12.4.3 Declaration
You must sign a declaration stating that you:

a) have described your organic enterprise and activities as referred to in 12.4.1 accurately
b) will perform your operations according to organic rules
c) accept any enforcements in case of non-compliance
d) inform the buyers of loss of status of your product
e) accept exchange of information about your operation between different certification bodies or control authorities where dual certified
f) accept handing over information about your certification history when changing certification body or control authority

g) will inform your certification body or control authority immediately of any breaches affecting the organic status of your product or organic products received from other operators or subcontractors
h) in the case of withdrawing certification inform the certification body or control authority without delay
i) accept that your Certification Body or control authority retains your certification history for a minimum of 5 years
j) must inform the certification body of any changes to your activities.

(EC) 889/2008 Art. 63(2); Art. 64

12.4.4 Other statutory requirements
You must make sure your organic business and operations comply with all statutory regulations in your country.

(EC) 834/2007 Art. 1(4); Art. 34(2)

This includes but is not limited to requirements concerning:

- premises
- equipment

This is covered in the contract and declaration you sign after every inspection.
### 12.4.5 Employment

You must **not** use forced or involuntary labour or child labour that interferes with their education.

*Soil Association higher standard*

Note that this standard is also a requirement of several EU Directives including 94/33/EC Protection of Young People at Work, and 2011/36 Preventing and combating trafficking in human beings and protecting its victims. If you are outside the EU, it may be a requirement of the International Labour Organisation Conventions that have been ratified in your country. If it is not you must still meet this requirement.

Labour management tools, such as [Sedex](https://www.sedex.com), can be a useful way of helping to ensure that you meet this standard and identify, mitigate and manage risks in your supply chain.

**Why?**

Organic food which has been produced in a way that compromises the basic rights of people is counter to the principles and expectations of the organic movement and organic consumers.

### 12.4.6 Certification code

1. Each certification body is issued with a unique certifier code. In the UK the Soil Association Certification’s code is ‘GB-ORG-05’.
2. You must use this code if you are packing and labelling products yourself or if another Soil Association certified business in the UK is packing or labelling the product on your behalf.

*Please refer to the labelling section 13.18 for more information on labelling requirements.*

### 12.5 Inspections

**What is this chapter about?**
This chapter explains the certification and inspection process and details your obligations as a licensee and the obligations of the certification body during the inspection process.

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>12.5.1 Inspection visits</strong></td>
<td>We may carry out additional inspections if:</td>
</tr>
<tr>
<td>1. A physical inspection of your organic certified activities must be carried out once per year. You may be subject to additional announced or unannounced inspections based on an assessment of risk.</td>
<td>- you wish to add a new enterprise to your licence</td>
</tr>
<tr>
<td>2. If you are a wholesaler dealing only with pre-packaged products you may be subject to a reduced frequency of inspections.</td>
<td>- you move to new premises</td>
</tr>
<tr>
<td>3. You may also be inspected by your competent authority as part of their surveillance of our inspection procedures.</td>
<td>- we receive a complaint regarding your business</td>
</tr>
<tr>
<td></td>
<td>- it is necessary to inspect seasonal activity or at different times of year</td>
</tr>
<tr>
<td></td>
<td>- we need to inspect again to make sure you have corrected non-compliances</td>
</tr>
<tr>
<td></td>
<td>- you are selected as part of our additional inspection programme and/or our risk assessment of your operations suggests the need for this.</td>
</tr>
<tr>
<td><strong>12.5.2 What happens at the inspection</strong></td>
<td>We may charge you for these additional inspections if we consider they are needed because of non-compliances.</td>
</tr>
<tr>
<td>1. At your inspection Soil Association Certification will:</td>
<td>At least 10% of a certification body’s inspections must be unannounced and 10% must be risk-based extra inspections. These are based on the general evaluation of the risk of non-compliance with the organic production rules, taking into account at least the results of previous controls, the quantity of products concerned and the risk for exchange of products.</td>
</tr>
<tr>
<td>a) verify that the description of your activities provided in your declaration is accurate</td>
<td></td>
</tr>
<tr>
<td>b) verify whether your activities are compliant with organic standards, and</td>
<td></td>
</tr>
<tr>
<td>c) compile an inspection report with any possible deficiencies and non-compliances found.</td>
<td></td>
</tr>
<tr>
<td>2. You or an appointed representative must sign the inspection declaration stating that you agree with the outcomes of the inspection and to undertake necessary corrective actions.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>As part of closing the meeting your Inspector will explain any non-compliances found during your inspection and will ask you to sign a Declaration and explain the need to complete an Action Summary Form (usually left with you at the end of inspection) which lists the outcomes of the inspection. This includes any areas that do not comply with the standards and asks how you will correct them. It may also ask for extra information to complete the approval process.</td>
</tr>
</tbody>
</table>
| | You must respond with details of the actions you will take to address non-compliances and supply any other information requested, before the deadline given. When we have received your returned form and agreed the information you have given is satisfactory, we will approve your corrective actions and issue/reissue your certificate.
### 12.5.3 Access to facilities
You must give Soil Association Certification or your control authority:

- **a)** access to all parts of your unit and all premises, including any non-organic production units and any storage premises for input products which it deems necessary in order to certify your organic activities
- **b)** access to accounts and relevant supporting documents which it deems necessary in order to certify your organic activities
- **c)** any information reasonably necessary for the purposes of certifying your organic activities, and
- **d)** when requested, the results of your own quality assurance programmes.

*(EC) 899/2009 Art. 63(3); Art. 67(1); Art. 73; Art. 79; Art. 79d*

### 12.5.4 Sampling
You must allow Soil Association Certification to take samples which will be analysed for the presence of prohibited substances and checking compliance to organic standards.

*(EC) 889/2008 Art. 65(2)*

We will take samples if there is a risk that organic standards have not been complied with or to verify that sufficient measures are in place to prevent contamination of organic products. Certification bodies are obliged to take samples from the equivalent of 5% of their licensees per year.

### 12.5.5 Specific requirements for inspecting bivalve mollusc production
You must inform your certification body when maximum bivalve biomass production occurs so that inspection visits can take place before or during this period.

*(EC) 889/2008 Art. 79(c)*
### 12.6 Non-compliance with the standards

**What is this chapter about?**
This chapter deals with non-compliances. A non-compliance is when an activity does not comply with an organic standard.

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>12.6.1 Non-compliances</strong></td>
<td>After your inspection we will draw up an <em>Action Summary Form and Declaration</em> (either at inspection or we will send it to you afterwards). This lists areas that do not comply with the standards and asks how you will correct them.</td>
</tr>
</tbody>
</table>

1. Where you are found not to comply with organic standards Soil Association Certification will issue you with a non-compliance. The level of sanction will be proportionate to the severity and extent of the non-compliance and the risk it poses to the integrity of the organic product. Soil Association Certification will always apply the precautionary principle when making decisions on compliance to organic standards.

2. Depending on the severity of the non-compliance Soil Association Certification may suspend or even withdraw your licence. If your licence is suspended or withdrawn you must not trade as organic.

*(EC) 834 Art 27(2)(6)(12); Art. 30(1) (EC) 889/2008 Art. 92d*

The different grades of sanctions are as follows:
- minor non-compliance
- major non-compliance
- critical non-compliance, or
- manifest infringement.

You are required to complete the *Action Summary Form and Declaration* with the actions you will take to comply with the standards, and return it to us with any other information we request before the deadline given. When your Certification Officer has received your completed form and agreed that the information you have given is satisfactory they will approve the *Action Summary Form and Declaration* and renew your licence.

We may suspend or withdraw your licence in the following cases:
- if you are in breach of your contract with us
- if you do not pay your fee within the deadlines
- failure of licensee to return certified sales declaration (CSD)
- we are unable to arrange an inspection
- an inspector is refused access to premises
- an inspector is refused permission to take a sample
- if you do not send the completed *Action Summary Form and Declaration*, or the information we request, within the deadlines
- severe or repeated non-compliance resulting in loss of organic integrity of an operation, product or batch
- a fraudulent activity is reported by an authority.
12.6.2 Reporting non-compliances

1. If you consider or suspect that any of your products do not meet organic standards, then you must inform Soil Association Certification immediately and share all relevant information to assist with any further investigation to determine the organic status of the product. You must also either:
   a) Withdraw any reference to organic in relation to the product.
   b) Separate or identify the product and only allow it to be further processed or sold as organic once any doubt has been eliminated and this has been agreed with us.

   (EC) 889/2008 Art. 91(1)

2. If we have a substantiated suspicion that you intend to place a product on to the market as organic which does not meet organic standards, we will tell you to withhold the product for a set time period whilst we investigate. Before we make this decision we will give you opportunity to comment. You will need to cooperate fully with any investigation to resolve the suspicion.

   If the suspicion is confirmed, then you must remove any reference to organic from the product. If the suspicion is not confirmed within the set time period, then you no longer have to withhold the product from sale.

   (EC) 889/2008 Art. 91(2)

You must inform your Certification Officer if you have any suspicion that a product may not meet organic standards and stop any further sale of the product as organic until any doubt over its organic status can be eliminated. Suspicion can originate from a number of sources including (but not exclusively):

- A positive residue detection showing contamination with a substance not permitted in organic production (any detection, at any level, will initially be regarded as suspicion until an investigation has taken place). You must inform us in all positive residue detection cases.
- A complaint from a reliable source.
- You have not been able to verify the organic status of goods you have received (see section 12.8.1 for further information).
- Not being able to verify valid certification of a product or supplier. For example, if your supplier’s certification has been revoked.
- Knowing that an element of the production did not meet organic standards, for example a prohibited substance has accidentally been applied to your crop or a non-organic ingredient has been used by mistake.

An investigation will be carried out to determine if the product has met organic production rules. Once this has been determined you will be informed if the product can be put back on the market as organic or not.

*Note: If you receive a positive detection, but from the information you have, you believe that the product still meets organic standards, then you do not have to inform us of the detection. You need to have justification as to why you believed it still met organic standards and keep that information on file so that we can check it at inspection if necessary. If you are unsure what action to take, please contact the technical team at sacl.notifications@soilassociation.org.

12.6.3 Exceptions

You may only deviate from the standards when explicitly permitted in these standards. Permission may be granted or confirmed by your certification body.

(EC) 834/2007 Art. 27(7)(b)

12.6.4 Appeals and complaints

We appreciate there may be occasions when you wish to make a formal complaint to us. This could be regarding

If you have a complaint please send details in writing to cert@soilassociation.org or telephone Client Services on 0117 987 4564.
If you wish to appeal a certification decision please send full details to your Certification Officer.

**12.7 Planning and managing your organic system**

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| **12.7.1 Environmental assessment**<br> If your site produces more than 20 tonnes of organic aquaculture product per year including seaweed, you must draw up an environmental assessment proportionate to the production unit. The assessment must be based on Annex IV to Council Directive 85/337/EEC which is the Environmental Impact Assessment Directive. It requires you to consider the conditions of the site, its current and future likely effects on the immediate environment. If the unit has already been subject to an equivalent assessment, then it can be used for this purpose. | Measure whether your site produces more than 20 tonnes of aquaculture product on a fresh weight basis. Council Directive 85/337/EEC can be accessed online [here](#). Details of the environmental impact assessment should include descriptions of:  
- the production unit, including physical characteristics, construction, production processes, inputs and the period when the unit will be in use  
- the aspects of the environment that the production unit will affect, such as aquatic flora and fauna, air, climatic factors, material assets including architectural and archaeological heritage, landscape and the interrelationship between these factors the pollutants emitted by the unit including the elimination of waste measures adopted to prevent, reduce and where possible offset significant adverse effects on the environment. |
| **12.7.2 Sustainable management**<br>You must provide us with a sustainable management plan drawn up in verifiable coordination with neighbouring operators for aquaculture. The plan must be proportionate to the production unit and include:  
  a) The environmental effects of the operation  
  b) Details of environmental monitoring  
  c) Measures to minimise negative impacts on the surrounding aquatic and terrestrial environment  
  d) Where applicable, details of nutrient discharge into the environment per production cycle or per annum  
  e) Details of how technical equipment will be surveyed and repaired where necessary  
  f) A waste reduction schedule to be put in place at the start of organic production | At inspection we will check this is appropriate to your system. Ensure you review and update your plan annually and include details of neighbouring operations.  
Your plan needs to cover:  
- Energy and water use; impact on wild species, for example seals and Eider ducks, which are a species of conservation interest  
- Risks identified through environmental monitoring and how these will be managed  
- How mortalities will be managed; identification and recapture of escapees; measures to minimise waste feed  
- The impact of any nutrient and effluent build up on the surrounding aquatic environment and how this is managed. Consider important habitats such as calcified seaweed (maerl) beds  
- Procedures to log and maintain all technical equipment |
g) Defensive and preventative measures taken against predators (in line with national rules and the Habitats Directive 92/43/EEC)

h) If you produce bivalve molluscs your plan must include a summary of the survey and report required in standard 13.13.9 (EC) 889/2008 6b (2) & (4), Art.25b (2) & (3), Art. 25q, Art. 79a

- How site waste is managed to avoid environmental damage, protect animal health and avoid attracting pests. For example using nets and ropes made of durable material that is suitable for re-use
- Identification of potential predators e.g. seals, birds, biofouling organisms, and the steps you take to prevent and deter them in line with national rules and the Habitats Directive.

### 12.7.3 Renewable energy and recycling

You must preferably use renewable energy sources and recycled materials. Where possible, the use of residual heat should be limited to energy from renewable sources. (EC) 889/2008 Art. 6b (5)

### 12.8 Record Keeping

**What is this chapter about?**

This chapter details all the records that you will need to keep and have available at your inspection.

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| **12.8.1 General record keeping**
1. You must have a record keeping system in place which allows you to prove the organic status of your products. Your records need to cover all production stages from everything produced or bought in through to all goods sold or dispatched and must allow you to demonstrate the balance between input and output. They must also allow retrospective traceability.
2. You must keep stock and financial records at your unit or premises which make it possible to verify the following information for every product:
   a) the suppliers, sellers or exporters
   b) the nature and quantities of organic products delivered, including where relevant:
      i) nature and quantities of all materials bought and the use of such materials
      ii) the composition of compound feed stuffs | Standards 12.8.1 – 12.8.3 apply to all licensees. More specific record keeping requirements for aquaculture operations follow below.

Your records need to be sufficient for us to be able to carry out successful mass balance (input and output) and traceability exercises at your inspection. You will need to be able to demonstrate that you have bought/received/produced sufficient organic material for the quantity you have sold/dispatched.

You need to have a system to keep track of procedures and records to ensure they are correct, up-to-date and effective.

Your records need to include:
- checked organic status of goods delivered as per standard 13.16.2
- quantities, batch codes and invoices and delivery notes of goods received
- quantities and batch codes of ingredients used in production/packing
- quantities produced in each production/packing run
c) the nature and quantities of organic products held in storage

d) the nature, quantities, and consignees or buyers (other than final consumers) of any products which have left your unit, premises or storage facility.

3. If you do not store or physically handle organic products, you will still need to keep records of:
   a) the nature and quantities of organic products bought and sold
   b) the suppliers, and where different the sellers or the exporters the buyers, and where different the consignees.

   (EC) 889/2008 Art. 26(2)(3)(5)(c); Art. 66(1)(2)

   • evidence that you processed organic and non-organic products separately
   • evidence that you cleaned according to these standards before production
   • batch codes of goods out
   • what you have sold/dispatched, how much and to whom
   • the organic products sale value
   • annual stock takes
   • any pest control treatments used
   • Certificates of Inspection (COIs) if applicable.

   You do not have to record sales value if you do not sell the product, for example, if you store product on behalf of another licensed organic company and do not sell that product to anyone.

   You need to carry out at least annual stock takes and record these (however, if you are handling a large volume of goods it may be beneficial to you to do this more frequently). These are necessary for our Inspector to have a starting point to conduct a mass balance.

   It is up to you to choose a traceability code system that works for you and your products. Some companies will use a batch code system, whereas others may be able to use the best before date on a product. Please see the record keeping standards below for more information about the importance of traceability in organic systems.

   You need to keep all records for at least shelf-life plus 12 months. With the exception of Certificates of Inspection which must be kept for 2 years. Please refer to section 6.8 Importing, of the Soil Association food and drink standards for details.

   Also, make sure that your records meet any other legally required time scales that might be specific to your products.
### 12.8.2 Verifying certification documents

1. You must verify the certification documents of your suppliers and check that they:
   a) identify your supplier,
   b) cover the type or range of products you are purchasing, and
   c) are valid at the time you are making the purchase.

2. You must make a record of these checks.

   *(EC) 834/2007 Art. 29(2)*

A certification document will be the organic certificate, or in the case of SA Certification licensees this includes the certificate and trading schedule. The name and address on the certificate must match the name and address of your supplier (the company you are purchasing from).

When you receive goods, you will also need to make the checks detailed in 13.16.2.
Tools such as BioC could be used as a way of doing this.

### 12.8.3 Complaints register

You must keep a complaint register for your business. This must record:

- a) all complaints you make or receive
- b) any response to the complaint
- c) the action taken.

*(EC) 834/2007 Art. 1(4)*
*(ISO 17065 (4.1.2.2)*

Keeping a record of any complaints you receive encourages transparency. It allows businesses to monitor issues and encourages good practice by ensuring there is a documented system for dealing with complaints.

### 12.8.4 Specific aquaculture animal production records

You must keep records in the form of a register which is available at all times on the premises of your holding. These records must provide the following information:

- a) the origin, date of arrival and conversion period of animals arriving at the holding
- b) the number of lots, the age, weight and destination of animals leaving the holding
- c) records of escapes of fish
- d) for fish, the type and quantity of feed and in the case of carp and related species, a documentary record of the use of additional feed
- e) veterinary treatments giving details of the purpose, date of application, method of application, type of product and withdrawal period
- f) disease prevention measures giving details of fallowing, cleaning and water treatment.

*(EC) 889/2008 Art. 79b*
## 12.9 Preserving organic integrity

### What is this chapter about?
The standards in this section cover which substances are prohibited and what you need to do to prevent contamination.

### Standards

<table>
<thead>
<tr>
<th>12.9.1 Reducing the risk of contamination</th>
<th>You must identify any risk of contamination to your organic products by any unauthorised or prohibited substances and ensure measures are in place to reduce the risk of contamination. When new risks are identified you must review the measures you have in place and ensure they remain appropriate. The risks identified and the measures in place must be documented.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Guidance</td>
<td>You must consider what you do to reduce the risk of contamination at all stages of production, including processing, storage and transport, including how you determine that the measures you have in place are sufficient and how you monitor that they remain effective. You could use details of any sampling and testing that you carry out.</td>
</tr>
<tr>
<td><strong>Examples of risks include:</strong></td>
<td><strong>Containers for storage or transport must be of food grade quality.</strong></td>
</tr>
<tr>
<td>• feed containing non-permitted ingredients</td>
<td><strong>All procedures must be consistently followed and appropriate records must be maintained to evidence this. Your Inspector will be checking that the procedures you have in place are adequate to reduce the risk of contamination.</strong></td>
</tr>
<tr>
<td>• other local non-organic sites</td>
<td><strong>Staff training is an important way to ensure that the risk of contamination is minimised. Ensure that all new staff are adequately trained, particularly when changes are made to these organic standards and your own operational procedures.</strong></td>
</tr>
<tr>
<td>• local pollution events e.g. oil spill, sewerage outlets, flooding</td>
<td><strong>In the EU, if a product contains GMOs or their derivatives then it must be labelled as such, (as described in 12.9.2.3) so the regulation allows labels to be relied upon as evidence to indicate whether food contains GMOs or their derivatives. This would apply to products such as agricultural crops, like maize and soya, or their derivatives like lecithin or starch. However, Directive 2001/18/EC, Regulation (EC) 1829/2003 and Regulation (EC) 1830/2003 do not extend to the use of ingredients produced by genetically modified microorganisms. For example, enzymes and vitamins. This means that it cannot be</strong></td>
</tr>
</tbody>
</table>

| 12.9.2 Genetic modification | **In the EU, if a product contains GMOs or their derivatives then it must be labelled as such, (as described in 12.9.2.3) so the regulation allows labels to be relied upon as evidence to indicate whether food contains GMOs or their derivatives. This would apply to products such as agricultural crops, like maize and soya, or their derivatives like lecithin or starch. However, Directive 2001/18/EC, Regulation (EC) 1829/2003 and Regulation (EC) 1830/2003 do not extend to the use of ingredients produced by genetically modified microorganisms. For example, enzymes and vitamins. This means that it cannot be** |
| 1. Products labelled as consisting of or made from GMOs must never be described as organic. | **In the EU, if a product contains GMOs or their derivatives then it must be labelled as such, (as described in 12.9.2.3) so the regulation allows labels to be relied upon as evidence to indicate whether food contains GMOs or their derivatives. This would apply to products such as agricultural crops, like maize and soya, or their derivatives like lecithin or starch. However, Directive 2001/18/EC, Regulation (EC) 1829/2003 and Regulation (EC) 1830/2003 do not extend to the use of ingredients produced by genetically modified microorganisms. For example, enzymes and vitamins. This means that it cannot be** |
| (EC) 834/2007 Art. 23(3) | **In the EU, if a product contains GMOs or their derivatives then it must be labelled as such, (as described in 12.9.2.3) so the regulation allows labels to be relied upon as evidence to indicate whether food contains GMOs or their derivatives. This would apply to products such as agricultural crops, like maize and soya, or their derivatives like lecithin or starch. However, Directive 2001/18/EC, Regulation (EC) 1829/2003 and Regulation (EC) 1830/2003 do not extend to the use of ingredients produced by genetically modified microorganisms. For example, enzymes and vitamins. This means that it cannot be** |
| You must not use GMOs or products made from or by GMOs or their derivatives. You must be able to demonstrate that any food, feed, processing aids, additives, micro-organisms, plant protection products, | **In the EU, if a product contains GMOs or their derivatives then it must be labelled as such, (as described in 12.9.2.3) so the regulation allows labels to be relied upon as evidence to indicate whether food contains GMOs or their derivatives. This would apply to products such as agricultural crops, like maize and soya, or their derivatives like lecithin or starch. However, Directive 2001/18/EC, Regulation (EC) 1829/2003 and Regulation (EC) 1830/2003 do not extend to the use of ingredients produced by genetically modified microorganisms. For example, enzymes and vitamins. This means that it cannot be** |
fertilisers, soil conditioners, seeds, vegetative propagating materials and animals used in organic production do not contain any GMOs or their derivatives.

3. For food and feed products in the EU, Directive 2001/18/EC, Regulation (EC) 1829/2003 or Regulation (EC) 1830/2003 are applicable, and you may rely on labels or any other accompanying documents to confirm that they are non-GM, unless you have other information that the products do not meet the Directive and Regulations listed above.

4. For products that are not food or feed, or products that could be produced by GMOs or for products we are certifying outside the EU, you will need to get confirmation from your suppliers, in the form of a non-GM declaration, that the products supplied have not been produced from or by GMOs.

5. For Soil Association products and ingredients you will need to provide additional information to demonstrate their non-GM status.

| automatically assumed that a product complies with the specific GMO requirements of the organic regulations. For this reason, we require a completed GMO declaration for all products that may be a GM risk. |
| Our GMO declaration form explains which additives, processing aids and ingredients are GMO risks. Your Certification Officer can also confirm any other ingredients which are a GMO risk. |
| There is a specific form to be used for licensees producing products under a Soil Association Standards license and a separate form to use for licenses producing product under an EU-only licence. This is because the Soil Association has additional requirements in this area, as outlined in 12.9.2.5. |
| Please contact us if you need a blank template of the non-GM declaration form for your suppliers to complete. |
| 12.9.2.3 also says, if you have other information that the products do not meet the GM labelling requirements then you cannot rely on the information stated on the label. For example, test results which show GM DNA in the product. If you or a third party tests any of your organic products and gets a positive result, you must inform us of that result as soon as possible. |
| Farmers purchasing animal feeds may rely on the information provided on the labels, or accompany documents. Feed used must be certified organic so any checks on GM status will have been done by the feed processors. |
| As part of due diligence and controlling risks, operators who import/process/trade GM risk organic ingredients may wish to carry out testing for GMOs. For example, soya or maize products. Testing must be to the lowest limit of quantification (0.1%) and not just to 0.9% |
| The European regulations and directives referred to in the standard only apply to product within the EU market. This means that if we are certifying your business outside the UK/EU, supplier declarations will be required for all GM risk ingredients and feed to confirm the products have not been produced from or by GMOs. |
GM ingredients have no place in organic food. In order to provide additional assurance that Soil Association certified products and ingredients do not contain GM, we require suppliers of risk products and ingredients to provide additional verification to prove their non-GM status.

### 12.9.3 Nanoparticles

1. Organic products must not contain or consist of engineered nanoparticles.  
   *Soil Association higher standard*

2. This standard does not apply to incidental nanoparticles.  
   *Soil Association higher standard*

Incidental nanoparticles not prohibited by this standard include:
- Substances that are incidental by-products of other manufacturing processes (such as milling or homogenisation).
- Naturally occurring nanoparticles, for example, from volcanic eruptions, in wood smoke or sea spray.

The definition of manufactured nanoparticles reflects the definition of nanomaterials in the Food Information for Consumers regulation 1169/2011.

Examples of products that we know may contain manufactured nanoparticles and that are commercially available include titanium dioxide and zinc oxide used in health and beauty products. The manufactured nanoparticle versions of these products are transparent.

### Why?

Nanomaterials may introduce new or heightened risks of toxicity, which are currently little understood. The possible effects of these nanomaterials on the environment, human and animal health are currently unknown. Nanotechnology involves the manipulation of materials and the creation of structures and systems at the scale of atoms and molecules. This can be either through simple physical processes or by specific engineering.

Nanomaterials include:
- nanoparticles and nanoemulsions
- nanostructures including nanocapsules, nanotubes, fullerenes (buckyballs), quantum dots and nanowires.

The properties of nanomaterials can differ significantly from those at larger scales because quantum effects start to occur at the nanoscale. These differences may be in chemical reactivity and biological activity, solubility and mobility, colour and transparency, among others.

These are examples of known and developing uses of nanotechnology:
- food additives, such as for flavouring, enhanced absorption of nutrients or modifying texture
- in health and beauty products, such as in transparent mineral sunscreens and make-up products
- in packaging, including quantum dots for traceability, UV light filters, nanoclays as gas barriers and carbon nanotubes to alter strength-to-weight ratio
- medicinal, such as drug delivery, DNA vaccines and advanced therapies
- environmental, such as soil remediation
pesticides, such as pesticide delivery in nanoemulsions, and
textiles, such as stain and water resistant coatings.

13.0 Specific standards for organic aquaculture

13.1 Site selection

<table>
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<tr>
<th>Standards</th>
<th>Guidance</th>
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</thead>
</table>
| **13.1.1 Site suitability**
Your production units must be sited in locations that are free from contamination by substances not permitted in organic production and that are free from pollution or pollutants that would affect the organic integrity of the product.
*(EC) 889/2008 Art. 6b (1)*
| When you start your organic aquaculture operation, or when you add new sites, you must be able to demonstrate you meet this standard and submit details of the assessments you have made in your aquaculture management plan. This should include details of neighbouring operations and an assessment of the contamination risk these pose and how this will be minimised. Ensure that the mean flush rate of each site is appropriate to the species you intend to farm there. |
| **13.1.2 Organic and non-organic production**
Organic and non-organic production units must be adequately separated based on:
- a) the natural situation
- b) separate water distribution systems
- c) distance
- d) tidal flow
- e) Upstream and downstream location of the organic production unit.
*(EC) 889/2008 Art. 6b 2
(EC) 834/2007 Art. 11* |
| If you are producing organic and non-organic products, detail the measures you take to ensure adequate separation in your aquaculture management plan. |
| **13.1.3 Separation distances**
Your competent authority may set minimum separation distances between organic and non-organic production units.
*(EC) 889/2008 Art. 6b 2* |
| Check with us to find out if your competent authority has set specific separation distances between organic and non-organic production units in your area. |
| **13.1.4 Suitable locations**
Your competent authority may designate locations or areas which they consider to be unsuitable for organic aquaculture.
*(EC) 889/2008 Art. 6b 2* |
| Check with us to find out if your competent authority has designated any locations unsuitable for organic aquaculture. |
### 13.2 Origin of aquaculture animals

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<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>13.2.1 Origin of organic aquaculture animals</strong>&lt;br&gt;1. Locally grown species must be used and breeding must aim to give strains which are more adapted to organic farming conditions, good health and good utilisation of feed resources.&lt;br&gt;2. You must choose species which can be farmed without causing significant damage to wild stocks.</td>
<td><em>(EC) 834/2007 Art. 15c(ii)</em>&lt;br&gt;<em>(EC) 889/2008 Art. 25d; Art. 79b(a)</em></td>
</tr>
<tr>
<td><strong>13.2.2 Breeding techniques</strong>&lt;br&gt;When breeding organic aquaculture animals you must not use artificial hybridisation, artificial induction of polyploidy, cloning and production of monosex strains, except by hand sorting.</td>
<td><em>(EC) 834/2007 Art. 5m; Art. 15c(i)</em>&lt;br&gt;Detail your breeding techniques in your aquaculture management plan.</td>
</tr>
<tr>
<td><strong>13.2.3 Using non-organic aquaculture animals</strong>&lt;br&gt;When organic aquaculture animals are not available, you may bring in wild caught or non-organic stock to improve the genetics of your stock or for breeding purposes. You must keep these animals under organic management for at least three months before they are used for breeding.</td>
<td><em>(EC) 834/2007 Art. 15(1)(a)</em>&lt;br&gt;<em>(EC) 889/2008 Art. 25e(1)</em>&lt;br&gt;To support the organic sector and produce your stock in line with organic principles, use organic aquaculture animals when they are available. If you need to use non-organic or wild caught aquaculture animals (see standard 13.2.4), demonstrate the lack of availability of organic animals by providing us with evidence that you have contacted suppliers within a suitable geographic area.</td>
</tr>
<tr>
<td><strong>13.2.4 Collection of wild aquaculture juveniles</strong>&lt;br&gt;You may only collect wild aquaculture juveniles for on-growing in the following circumstances:&lt;br&gt;a) As natural influx of fish or crustacean larvae and juveniles when filling ponds, containment systems and enclosures&lt;br&gt;b) European glass eel, provided that an approved eel management plan is in place for the location and only whilst artificial reproduction of eel remains unsolved.&lt;br&gt;c) The collection of wild fry of species other than European eel for on-growing in traditional extensive</td>
<td></td>
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</table>
aquaculture in wetlands, such as brackish water ponds, tidal areas and coastal lagoons, closed by levees and banks, provided that:

i. the restocking is in line with management measures approved by the relevant authorities to ensure the sustainable exploitation of the species

ii. the fish are fed exclusively with feed naturally available in the environment.

**(EC) 889/2008 Art. 25e (4)**

### 13.2.5 On-growing

When organic juveniles are not available, you may bring in non-organic juveniles for on-growing. At least the last two thirds of the production cycle must be under organic management. However, you must plan to reduce the amounts you bring in to zero by 31 December 2016.

**(EC) 889/2008 Art. 25e (2)(3)**

The EU Commission has not published an update to this standard (as of November 2018) therefore there is currently no ability to use non-organic juveniles.

### 13.2.6 Producing organic and non-organic aquaculture animals

1. Your competent authority may permit hatcheries and nurseries to rear both organic and non-organic juveniles in the same holding, provided there is clear physical separation between the units and they use separate water distribution systems.

2. In the case of grow-out production your competent authority may permit organic and non-organic grow-out production on the same holding provided:
   a) the animals are in different production phases, and
   b) different handling periods are implemented.

**(EC) 834/2007 Art.15b(iv)
(EC) 889/2008 Art.25c

You will need to demonstrate adequate separation in order for your competent authority to consider requests to permit organic and non-organic production units on the same holding. You will need to make sure there is no risk of contamination from your non-organic production.

### 13.2.7 Replacing stock in cases of high mortality

1. When there is high mortality of aquaculture animals caused by the following circumstances*, you may bring in non-organic stock when organically reared animals are not available. You must keep these animals under organic management for at least the latter two thirds of the

Contact your Certification Officer first if you think you need to bring in non-organic stock.

---

*Contact your Certification Officer first if you think you need to bring in non-organic stock.
duration of the production cycle. Your competent authority must authorise this.

Applicable circumstances:
- a) Natural disasters
- b) Adverse climatic events
- c) Sudden water quality and quantity changes for which the operator is not responsible
- d) Diseases in aquaculture, failure or destruction of production facilities for which the operator is not responsible.

2. Upon approval by the competent authority you must keep documentary evidence of the use of this exception.

*Regulation (EU) No 508/2014 Art. 57(1)(a) to (d)
(EC) 889/2008 Art. 47(f)

### 13.3 Aquaculture husbandry

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>13.3.1 Meeting the needs of your aquaculture animals</strong></td>
<td>In your aquaculture management plan demonstrate how you monitor each of the parameters above to ensure the welfare needs of your animals are met. This standard applies to all species under your management including, for example, cleaner fish. Adhere to relevant animal welfare legislation in your country of production.</td>
</tr>
<tr>
<td>1. The developmental, physiological and behavioural needs of your aquaculture animals must be met through: a) husbandry practices b) feeding c) design of installations d) stocking densities, and e) water quality.</td>
<td></td>
</tr>
<tr>
<td>2. Staff keeping aquatic animals must have the necessary knowledge and skills to manage their health and welfare needs.</td>
<td>(EC) 834/2007 Article 15b (i)(ii)</td>
</tr>
<tr>
<td><strong>13.3.2 Installation design</strong></td>
<td>The design and construction of the installations for containing farmed species must provide flow rates and physiochemical parameters that protect the animals’ health and welfare and provide for their behavioural needs.</td>
</tr>
</tbody>
</table>
### 13.3.3 Holding facility design

1. You must design the holding facilities to cater for the species-specific needs of the aquaculture animals so that they:
   a) have sufficient space for their wellbeing
   b) are kept in water of good quality with sufficient oxygen levels, and
   c) are kept in appropriate temperature and light conditions.
2. For freshwater fish, the bottom of the holding facilities must be as close as possible to natural conditions.
3. For carp, the holding facilities must be natural earth.

  *(EC) 889/2008 Art. 25f (1)*

### 13.3.4 Escapes

Installations for containing farmed species must be designed, located and operated to minimise the risk of escapes. If fish or crustaceans escape, you must take appropriate action to reduce the impact on the local ecosystem, including recapture where appropriate.

  *(EC) 834/2007 Art. 15b (iii)  
  (EC) 889/2008 Art. 25f (1)(5), Art. 79b (c)*

<table>
<thead>
<tr>
<th><strong>Species-specific stocking densities</strong> are shown in section 13.4. You must be able to demonstrate that you have considered the species-specific needs of the animals.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Detail what measures are in place to minimise escapes in your aquaculture management plan e.g. net maintenance, design of installation etc.</strong></td>
</tr>
</tbody>
</table>
### 13.4 Species-specific production requirements and stocking densities

#### Standards

<table>
<thead>
<tr>
<th><strong>13.4.1 Planning stocking densities</strong></th>
<th><strong>Guidance</strong></th>
</tr>
</thead>
</table>
| The maximum stocking densities are set out in the standards below. You must consider the welfare of the farmed fish when planning stocking densities and monitor all of the following:  
  a) fin damage  
  b) other injuries  
  c) growth rate  
  d) normal behaviour and behaviour indicating stress  
  e) overall health  
  f) water quality.  |
| In your aquaculture management plan demonstrate how you plan your stocking density and monitor each of the levels above.  |
| *(EC) 889/2008 Art. 25f (2)* |  |

<table>
<thead>
<tr>
<th><strong>13.4.2 Stocking densities for different species</strong></th>
<th><strong>Guidance</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Your stocking densities must not exceed the maximum levels set out below.</td>
<td></td>
</tr>
<tr>
<td>Stocking densities are calculated per individual net pen. Show how you plan, measure and monitor stocking density in your aquaculture management plan.</td>
<td></td>
</tr>
<tr>
<td><em>(EC) 889/2008 Art. 25(f) (2) &amp; Annex XIIIa</em></td>
<td></td>
</tr>
</tbody>
</table>

| **13.4.3 Organic production of salmonids in fresh water** |  |
|---------------------------------|  |
| Includes: Brown trout, Rainbow trout, American brook trout, salmon, char, grayling, American lake trout (or grey trout), huchen  |
| Production system  
  On-growing farm systems must be fed from open systems. The flow rate must ensure a minimum of 60% oxygen saturation for stock and must ensure their comfort and the elimination of farming effluent.  |
| Maximum stocking density  
  Salmonid species not listed below: 15 kg/m³  
  Salmon: 20 kg/m³  
  Brown trout and rainbow trout: 25 kg/m³  
  Arctic char: 25 kg/m³  |
| *(EC) 889/2008 Annex XIIIa* |  |

| **13.4.4 Salmonids in sea water** |  |
|---------------------------------|  |
| Includes: Salmon, brown trout, rainbow trout  |

*(EC) 889/2008 Art. 25f (2)*
<table>
<thead>
<tr>
<th>Maximum stocking density</th>
<th>10 kg/m³ in net pens</th>
</tr>
</thead>
<tbody>
<tr>
<td>(EC) 889/2008 Annex XIIIa</td>
<td></td>
</tr>
</tbody>
</table>

**13.4.5 Cod, other Gadidae, sea bass, sea bream, meagre, turbot, red porgy, red drum and other Sparidae, and spinefeet**

Production system: In open water containment systems (net pens/cages) with minimum sea current speed to provide optimum fish welfare, or in open systems on land.

Maximum stocking density:
- For fish other than turbot: 15 kg/m³
- For turbot: 25 kg/m²

((EC) 889/2008 Annex XIIIa)

**Standards**

**Guidance**

**13.4.6 Sea bass, sea bream, meagre, mullets and eel in earth ponds of tidal areas and coastal lagoons**

Containment system: Traditional salt pans transformed into aquaculture production units and similar earth ponds in tidal areas

Production system: There shall be adequate renewal of water to ensure the welfare of the species. At least 50% of the dikes must have plant cover. Wetland based depuration ponds are required.

Maximum stocking density: 4 kg/m³

((EC) 889/2008 Annex XIIIa)

**Standards**

**Guidance**

**13.4.7 Sturgeon in fresh water**

Species concerned: Acipenser family

Production system: Water flow in each rearing unit shall be sufficient to ensure animal welfare. Effluent water to be of equivalent quality to incoming water.

Maximum stocking density: 30 kg/m³

((EC) 889/2008 Annex XIIIa)
### 13.4.8 Fish in inland waters
Species concerned: Carp family (Cyprinidae) and other associated species in the context of polyculture, including perch, pike, catfish, coregonids, sturgeon.

- Fishponds must be fully drained periodically.
- Lakes must be devoted exclusively to organic production, including the growing of crops on dry areas.
- The fishery capture area must be a suitable size to provide optimal comfort for the fish and equipped with a clean water inlet.
- The fish must be stored in clean water after harvest.
- You may only use organic and mineral fertilisers in the ponds which are listed in Annex I, with a maximum application of 20 kg nitrogen/ha.
- You must not use treatments involving synthetic chemicals for the control of hydrophytes or plant coverage present in production waters.
- Areas of natural vegetation shall be maintained around inland water units as a buffer zone for external land areas not in organic aquaculture production.
- If you operate a polyculture system for your grow-out production, you must comply with these standards for all other species in the lake.
- The total production of species is limited to 1500 kg of fish per hectare per year.

(CE) 889/2008 Annex XIIIa

### Guidance
The frequency of drainage will depend on the type of pond, water flow, stocking rates and fish management. You need to demonstrate that the frequency will be sufficient to ensure good water quality, fish health and welfare. You can detail justification for the frequency of drainage in your aquaculture management plan.

### 13.4.9 Penaeid shrimps and freshwater prawns

<table>
<thead>
<tr>
<th>Standards</th>
</tr>
</thead>
<tbody>
<tr>
<td>Establishment of production unit/s</td>
</tr>
<tr>
<td>Conversion time</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Location to be in sterile clay areas to minimise environmental impact of pond construction. Ponds to be built with natural pre-existing clay. Mangrove destruction is not permitted.</td>
</tr>
<tr>
<td>Six months per pond, corresponding to the normal lifespan of a farmed shrimp.</td>
</tr>
<tr>
<td>Broodstock origin</td>
</tr>
<tr>
<td>------------------</td>
</tr>
<tr>
<td>Eyestalk ablation</td>
</tr>
</tbody>
</table>
| Maximum on farm stocking densities and production limits | **Seeding:** maximum 22 post larvae/m²  
**Maximum instantaneous biomass:** 240 g/m²  
*(EC) 889/2008 Annex XIIIa* |

### Standards

#### 13.4.10 Crayfish

**Species concerned:** *Astacus astacus, Pacifastacus leniusculus*

| Maximum stocking density | For small-sized crayfish (<20 mm): 100 individuals per m². For crayfish of intermediate size (20-50 mm): 30 individuals per m². For adult crayfish (>50 mm): 10 individuals per m² provided that adequate hiding places are available. |

*(EC) 889/2008 Annex XIIIa*

### Standards

#### 13.4.11 Tropical freshwater fish

**Species concerned:** milkfish (Chanos chanos), tilapia (Oreochromis spp.), Siamese catfish (Pangasius spp.)

<table>
<thead>
<tr>
<th>Production systems</th>
<th>Ponds and net cages</th>
</tr>
</thead>
</table>
| Maximum stocking density | Pangasius: 10 kg/m³  
Oreochromis: 20kg/m³ |

### 13.5 Aquaculture livestock management

#### 13.5.1 Handling

1. Handling of aquaculture livestock must be kept to a minimum. When handling is necessary, great care, proper equipment and protocols must be used to avoid stress and physical damage. You must handle broodstock in ways that minimise physical damage and you must use anaesthesia where appropriate.

2. You must keep grading operations to a minimum and perform them in such a way as to protect the welfare of the fish.

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
</table>

Detail in your aquaculture management plan how you monitor fish for signs of stress during and after handling. Examples of this include scale and fin damage, time out of the water.

In seawater systems allowing sufficient time for your stock to swim through grading nets (passive grading) minimises stress and physical damage in the farmed fish.

At your inspection, demonstrate how you ensure staff who handle fish, including those involved with killing, are appropriately trained, competent and aware of their duty of care.
### 13.5.2 Withdrawing feed

The maximum starve period before harvest for salmon, trout and Arctic charr is 50 degree days.

**Soil Association higher standard**

In your aquaculture management plan show how you manage starve periods before harvest to ensure they remain below this limit. Standard 13.3.1 requires you to ensure that the developmental, physiological and behavioural needs of your aquaculture animals are met at all times.

Why?

If feed is not withdrawn before handling or transport this can lead to poor water quality which has health implications for the fish. However, farmed fish become used to being fed regularly so the withdrawal of feed for prolonged periods is a welfare concern.

One of the principles of good animal welfare is freedom from hunger, therefore the Soil Association sets a limit on the permitted withdrawal period for feed for farmed fish before harvest. We use degree days as a measurement because in the wild, fish naturally eat less in colder waters.

### Standards

#### 13.5.3 Humane harvest and slaughter

Suffering of aquaculture animals, including at slaughter, must be kept to a minimum. You must only use slaughter techniques that render fish immediately unconscious and insensible to pain. You must take into account harvest sizes, species and production sites when considering optimal slaughter methods.

**Guidance**

Transfer of fish to the killing facility should be by a method and at an appropriate rate to avoid stress and injury but also to prevent delay prior to killing.

All farmed fish must be stunned before killing, whether or not death accompanies the stun (as in stun/kill methods) or follows a short time after the stun but before the fish has the time to regain consciousness.

For killing procedures that require it, the time from removal of the fish from water to unconsciousness and killing should be kept to a minimum. Emergency killing, including where automated stunning or other methods fail, should not be by methods considered inhumane at other times. A backup method of manual stunning, such as a priest, must be available in the killing facility.

We will refer to the [FAWC Opinion on the Welfare of Farmed Fish at the Time of Killing](#) for appropriate practice.

The following methods of harvest and slaughter do not meet this standard:

- ice, except for warm water shrimp
- carbon dioxide

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((EC) 834/2007 Art. 15b (vi))

((EC) 889/2008 Art. 25h (5))
<table>
<thead>
<tr>
<th>13.5.4 Lighting</th>
</tr>
</thead>
<tbody>
<tr>
<td>You may only prolong natural day-length to levels that respect the ethological needs, geographical location and general health of the aquaculture species. You may only prolong natural day-length to beyond 16 hours per day for reproductive purposes. You must avoid abrupt changes in light intensity at changeover time by using dimmable lights or background lighting.</td>
</tr>
<tr>
<td><em>(EC) 889/2008 Art.25h (2)</em></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>13.5.5 Aeration and oxygen use</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. You may use aeration to ensure animal health, using aerators preferably powered by renewable energy sources where possible.</td>
</tr>
<tr>
<td>2. You may use oxygen only for animal health requirements and critical periods of production or transport in the following cases:</td>
</tr>
<tr>
<td>a) Exceptional cases of temperature rise</td>
</tr>
<tr>
<td>b) Fall in atmospheric pressure</td>
</tr>
<tr>
<td>c) Accidental pollution</td>
</tr>
<tr>
<td>d) Occasional stock management procedures such as sampling and sorting</td>
</tr>
<tr>
<td>e) In order to assure the survival of farmed aquaculture livestock.</td>
</tr>
<tr>
<td><em>(EC) 889/2008 Art.25h (3)(4)</em></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>13.5.6 Use of hormones is prohibited</th>
</tr>
</thead>
<tbody>
<tr>
<td>You must not use hormones or hormone derivatives.</td>
</tr>
<tr>
<td><em>(EC) 889/2008 Art.25i</em></td>
</tr>
</tbody>
</table>

- suffocation, leaving stock to die in the open air
- exsanguination without stunning
- operating a rolling harvest where you starve all fish in the holding facility and selectively grade a number for slaughter on a repeated basis
- starving stock to modify carcass weight or quality (body composition).
### 13.6 Aquatic containment systems

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| **13.6.1 Closed recirculation**  
Closed recirculation facilities are only permitted for:  
a) Hatcheries  
b) nurseries, and  
c) the production of organisms used for organic feed.  
*(EC) 889/2008 Art. 25g (1)* | A closed recirculation aquaculture system is one in which fish or bivalves are kept in tanks on land and the water is constantly cleaned and recycled back into the system. |
| **13.6.2 Artificial heating or cooling**  
1. You may only use artificial heating or cooling of water in hatcheries and nurseries.  
2. You may use natural borehole water to heat or cool water at all stages of production.  
*(EC) 889/2008 Art.25g (4)* | In your aquaculture management plan detail any heating or cooling you use, how it is provided and what stage of production it is used for. |
| **13.6.3 Rearing on land**  
1. For land-based rearing units with flow-through systems it must be possible to monitor and control the flow rate and quality of in-flowing and out-flowing water.  
2. At least five percent of the perimeter (land-water interface) of land-based rearing units must have natural vegetation.  
*(EC) 889/2008 Art. 25g (2)* | Your records for this will be checked at inspection. |
| **13.6.4 Sea based containment systems**  
Sea-based containment systems must be located where water flow, depth and water body exchange rates are adequate to minimise the impact on the sea bed and the surrounding water body. They must have suitable cage design, construction and maintenance to withstand exposure to the operating environment.  
*(EC) 889/2008 Art. 25g (3)* | You will need to consider this in the design of your system – refer to standard 13.1.1 site selection. |
| **13.6.5 Production in fishponds, tanks and raceways**  
1. For aquaculture animal production in fishponds, tanks and raceways, waste nutrients must be collected or the quality of the effluent improved using:  
a) natural filter beds | Your records for this will be checked at inspection. |
b) settlement ponds  
c) biological or mechanical filters, or  
d) seaweeds and/or animals (e.g. bivalves).

2. You must monitor the effluent at regular intervals.  
*(EC) 889/2008 Art. 25b (4)*

### 13.7 Feeding fish, crustaceans and echinoderms

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| **13.7.1 Feeding priorities (all species)** | At inspection you will need to demonstrate how you:  
- monitor fish health, and  
- manage quantities of feed used to prevent waste. |
| 1. You must feed your aquaculture animals with feed that meets the animals’ nutritional requirements at the various stages of their development.  
2. You must design your feeding regimes to prioritise:  
  a) animal health  
  b) the production of high quality aquaculture products including nutritional composition  
  c) low environmental impact.  
*(EC) 834/2007 Art. 15d(i)  
(EC) 889/2008 Art. 25j* |

| **13.7.2 Feeding priorities for carnivorous aquaculture species** | You can choose from the above sources of feed in order to meet the animals’ nutritional requirements at the various stages of their development, but where possible they must be used in order of preference. |
| 1. You must source feed for carnivorous aquaculture animals with the following priorities:  
  a) organic feed products of aquaculture origin  
  b) fish meal and fish oil from organic aquaculture trimmings  
  c) fish meal and fish oil and ingredients of fish origin derived from trimmings of fish already caught for human consumption in sustainable fisheries  
  d) organic feed materials of plant or animal origin  
  e) feed products derived from whole fish caught in fisheries certified as sustainable under a scheme recognised by the competent authority in line with the principles laid down in Regulation (EU) No 1380/2013 of the European Parliament and of the Council. |
| For operators in the UK, Defra (the competent authority) has provided additional guidance on the sustainability criteria for whole fish. We can provide you with a copy on request. |
2. The feed ration may comprise a maximum of 60% organic plant products.

(EC) 834/2007 Art. 15d(ii)
(EC) 889/2008 Art. 25k(1)(2)(3); Art. 79b (d)

<table>
<thead>
<tr>
<th>13.7.3 Feeding histidine</th>
</tr>
</thead>
<tbody>
<tr>
<td>When the feed sources allowed in these standards do not provide sufficient amounts of histidine to prevent cataracts and to meet the dietary needs of salmonid fish you may feed histidine sources produced through fermentation.</td>
</tr>
</tbody>
</table>

(EC) 889/2008 Art. 25k (5)

| Your aquaculture management plan must provide details for which groups of fish, life stages or times of year require additional histidine in their diets and the reasons why. At inspection you must be able to demonstrate that the histidine is from fermented sources. If you are buying an organic certified feed no additional checks are needed. |

<table>
<thead>
<tr>
<th>13.7.4 Feeding astaxanthin</th>
</tr>
</thead>
<tbody>
<tr>
<td>You may feed salmon and trout astaxanthin derived primarily from organic sources such as organic crustacean shells, within the limit of their physiological needs. If organic sources are not available you may use natural sources of astaxanthin such as <em>Phaffia</em> yeast.</td>
</tr>
</tbody>
</table>

(EC) 889/2008 Art. 25k (4)

| Detail in your aquaculture management plan if you use astaxanthin and how you determine the quantities fed do not exceed the limit of the physiological needs of the species you are feeding. If you are buying an organic certified feed no additional checks are needed. |

<table>
<thead>
<tr>
<th>13.7.5 Feeding freshwater species</th>
</tr>
</thead>
<tbody>
<tr>
<td>In the grow-out stages, the following species must be fed feed which is naturally available in ponds and lakes:</td>
</tr>
<tr>
<td>a) carp and associated species in polyculture systems (perch, pike, catfish, coregonids and sturgeon)</td>
</tr>
<tr>
<td>b) Penaeid shrimp and freshwater prawns (Macrobrachium spp.)</td>
</tr>
<tr>
<td>c) tropical freshwater fish – milkfish, tilapia and Siamese catfish (Pangasius spp.)</td>
</tr>
<tr>
<td>2. When natural feed is not available in sufficient quantity, you may feed seaweed or organic feed of plant origin, preferably grown on the holding.</td>
</tr>
<tr>
<td>3. Where you are supplementary feeding Penaeid shrimp in this way, you may feed a maximum of 25% fishmeal and 10% fish oil derived from sustainable fisheries.</td>
</tr>
<tr>
<td>4. You may also supplement the diets of Penaeid shrimp and freshwater prawns with organic cholesterol both in the grow-out stage and in earlier life stages in nurseries and hatcheries. Where organic cholesterol is not available,</td>
</tr>
</tbody>
</table>

For species reared in ponds and lakes, keep records of the need to use feed other than that which is naturally occurring in the environment.
you may use non-organic cholesterol derived from wool, shellfish or other sources.  

5. When you are supplementary feeding Siamese catfish (Pangasium spp.) in this way you may include a maximum of 10% fishmeal or fish oil derived from sustainable fisheries.

(EC) 834/2007 Art. 15d(ii)  
(EC) 889/2008 Art. 25l (1); Art. 79b (d)

<table>
<thead>
<tr>
<th>13.7.6 Permitted feed for juveniles</th>
</tr>
</thead>
<tbody>
<tr>
<td>In the larval rearing of organic juveniles, non-organic phytoplankton and zooplankton may be used as feed.</td>
</tr>
<tr>
<td>(EC) 889/2008 Art. 25la</td>
</tr>
</tbody>
</table>

### 13.8 Aquaculture feeds

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>13.8.1 Permitted feed minerals</strong></td>
<td>You may use the following feed materials of mineral origin in organic aquaculture feeds:</td>
</tr>
<tr>
<td>- a) Calcareous marine shells</td>
<td></td>
</tr>
<tr>
<td>- b) Calcium gluconate</td>
<td></td>
</tr>
<tr>
<td>- c) Calcium carbonate</td>
<td></td>
</tr>
<tr>
<td>- d) Defluorinated monocalcium phosphate</td>
<td></td>
</tr>
<tr>
<td>- e) Defluorinated dicalcium phosphate</td>
<td></td>
</tr>
<tr>
<td>- f) Magnesium oxide (anhydrous magnesia)</td>
<td></td>
</tr>
<tr>
<td>- g) Magnesium sulphate</td>
<td></td>
</tr>
<tr>
<td>- h) Magnesium chloride</td>
<td></td>
</tr>
<tr>
<td>- i) Magnesium carbonate</td>
<td></td>
</tr>
<tr>
<td>- j) Calcium magnesium phosphate</td>
<td></td>
</tr>
<tr>
<td>- k) Magnesium phosphate</td>
<td></td>
</tr>
<tr>
<td>- l) Monosodium phosphate</td>
<td></td>
</tr>
<tr>
<td>- m) Calcium sodium phosphate</td>
<td></td>
</tr>
<tr>
<td>- n) Sodium chloride</td>
<td></td>
</tr>
<tr>
<td>- o) Sodium bicarbonate</td>
<td></td>
</tr>
<tr>
<td>- p) Sodium carbonate</td>
<td></td>
</tr>
<tr>
<td>- q) Sodium sulphate</td>
<td></td>
</tr>
<tr>
<td>- r) Potassium chloride</td>
<td></td>
</tr>
</tbody>
</table>
### Standards

#### 13.8.2 Calcified seaweed is prohibited

<table>
<thead>
<tr>
<th><strong>Preservatives</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>E200 Sorbic acid</td>
</tr>
<tr>
<td>E 236 Formic acid</td>
</tr>
<tr>
<td>E 237 Sodium formate</td>
</tr>
<tr>
<td>E 260 Acetic acid</td>
</tr>
<tr>
<td>E 270 Lactic acid</td>
</tr>
<tr>
<td>E 280 Propionic acid</td>
</tr>
<tr>
<td>E 330 Citric acid</td>
</tr>
</tbody>
</table>

You must **not** use calcified seaweed, lithothamne or maerl in feeds for aquaculture livestock.

**Soil Association higher standard**

To demonstrate this, keep records of the feeds you use and the ingredients they contain.

A sourcing requirement applies for SA processors.

**Why?**

Calcified seaweed, lithothamne and maerl refer to a group of coralline algae, primarily of the species *Phymatolithon calcateum*, *Lithothamnion glaciale* and *Lithothamnion corallioides*. Calcified seaweed beds are relatively scarce and are important habitats which hold impressive levels of biodiversity, harbouring many rare and commercially valuable species. Owing to their extremely slow growth rate, calcified seaweed beds are very fragile and cannot sustain even limited extraction without deterioration.

Commercial extraction from the sea has already led to the destruction of several beds in Europe and current levels of protection provided are unlikely to prevent further destruction and deterioration.

#### 13.8.3 Permitted feed additives

You may use the following feed additives or products in animal nutrition and processing aids:

<table>
<thead>
<tr>
<th><strong>ID number or Functional Group</strong></th>
<th><strong>Substance</strong></th>
<th><strong>Description/conditions for use</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>E200</td>
<td>Sorbic acid</td>
<td></td>
</tr>
<tr>
<td>E 236</td>
<td>Formic acid</td>
<td></td>
</tr>
<tr>
<td>E 237</td>
<td>Sodium formate</td>
<td></td>
</tr>
<tr>
<td>E 260</td>
<td>Acetic acid</td>
<td></td>
</tr>
<tr>
<td>E 270</td>
<td>Lactic acid</td>
<td></td>
</tr>
<tr>
<td>E 280</td>
<td>Propionic acid</td>
<td></td>
</tr>
<tr>
<td>E 330</td>
<td>Citric acid</td>
<td></td>
</tr>
<tr>
<td>Code</td>
<td>Description</td>
<td>Notes</td>
</tr>
<tr>
<td>-------</td>
<td>--------------------------------------------------</td>
<td>----------------------------------------------------------------------</td>
</tr>
<tr>
<td>1bE306(i)</td>
<td>Tocopherol extracts from vegetable oils</td>
<td></td>
</tr>
<tr>
<td>1bE306(ii)</td>
<td>Tocopherol-rich extracts from vegetable oils (delta rich)</td>
<td></td>
</tr>
</tbody>
</table>

**Emulsifiers, stabilisers, thickeners and gelling agents**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1c322</td>
<td>Lecithins</td>
<td>Only when derived from organic raw material. Use restricted to aquaculture animal feed.</td>
</tr>
</tbody>
</table>

**Binders, anti-caking agents and coagulants**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>E412</td>
<td>Guar gum</td>
<td></td>
</tr>
<tr>
<td>E 535</td>
<td>Sodium ferrocyanide</td>
<td>Maximum dose rate of 20 mg/kg NaCl calculated as ferrocyanide anion</td>
</tr>
<tr>
<td>E 551b</td>
<td>Colloidal silica</td>
<td></td>
</tr>
<tr>
<td>E 551c</td>
<td>Kieselgur (diatomaceous earth, purified)</td>
<td></td>
</tr>
<tr>
<td>1m558i</td>
<td>Bentonite</td>
<td></td>
</tr>
<tr>
<td>E 559</td>
<td>Kaolinitic clays, free of asbestos</td>
<td></td>
</tr>
<tr>
<td>E 560</td>
<td>Natural mixtures of stearites and chlorite</td>
<td></td>
</tr>
<tr>
<td>E 561</td>
<td>Vermiculite</td>
<td></td>
</tr>
<tr>
<td>E 562</td>
<td>Sepiolite</td>
<td></td>
</tr>
<tr>
<td>E 566</td>
<td>Natrolite-Phonolite</td>
<td></td>
</tr>
<tr>
<td>1g568</td>
<td>Clinoptilolite of sedimentary origin, [All species]</td>
<td></td>
</tr>
<tr>
<td>E 599</td>
<td>Perlite</td>
<td></td>
</tr>
</tbody>
</table>

**Sensory additives**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>2b</td>
<td>Flavouring compounds</td>
<td>Only extracts from agricultural products</td>
</tr>
<tr>
<td></td>
<td><em>Castanea sativa</em> Mill.: Chestnut extract</td>
<td></td>
</tr>
</tbody>
</table>

**Nutritional additives**
### Vitamins and provitamins

Derived from agricultural products

If derived synthetically, only those identical to vitamins derived from agricultural products may be used for aquaculture animals.

### Trace elements

<table>
<thead>
<tr>
<th>ID number or Functional Group</th>
<th>Substance</th>
<th>Conditions of use</th>
</tr>
</thead>
<tbody>
<tr>
<td>E1 Iron</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3b101</td>
<td>Iron(II) carbonate (siderite)</td>
<td></td>
</tr>
<tr>
<td>3b103</td>
<td>Iron(II) sulphate monohydrate</td>
<td></td>
</tr>
<tr>
<td>3b104</td>
<td>Iron(II) sulphate heptahydrate</td>
<td></td>
</tr>
<tr>
<td>3b201</td>
<td>Potassium iodide</td>
<td></td>
</tr>
<tr>
<td>3b202</td>
<td>Calcium iodate, anhydrous</td>
<td></td>
</tr>
<tr>
<td>3b203</td>
<td>Coated granulated calcium iodate anhydrous</td>
<td></td>
</tr>
<tr>
<td>3b301</td>
<td>Cobalt(II) acetate tetrahydrate</td>
<td></td>
</tr>
<tr>
<td>3b302</td>
<td>Cobalt(II) carbonate</td>
<td></td>
</tr>
<tr>
<td>3b303</td>
<td>Cobalt(II) carbonate hydroxide (2:3) monohydrate</td>
<td></td>
</tr>
<tr>
<td>3b304</td>
<td>Coated granulated cobalt(II) carbonate</td>
<td></td>
</tr>
<tr>
<td>3b305</td>
<td>Cobalt(II) sulphate heptahydrate</td>
<td></td>
</tr>
<tr>
<td>3b402</td>
<td>Copper(II) carbonate dihydroxy monohydrate</td>
<td></td>
</tr>
<tr>
<td>3b404</td>
<td>Copper(II) oxide</td>
<td></td>
</tr>
<tr>
<td>3b405</td>
<td>Copper(II) sulphate, pentahydrate</td>
<td></td>
</tr>
<tr>
<td>3b409</td>
<td>Dicopper chloride trihydroxide (TBCC)</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>3b502</td>
<td>Manganese (II) oxide</td>
<td></td>
</tr>
<tr>
<td>3b503</td>
<td>Manganous sulfate, monohydrate</td>
<td></td>
</tr>
<tr>
<td>3b603</td>
<td>Zinc oxide</td>
<td></td>
</tr>
<tr>
<td>3b604</td>
<td>Zinc sulphate heptahydrate</td>
<td></td>
</tr>
<tr>
<td>3b605</td>
<td>Zinc sulphate monohydrate</td>
<td></td>
</tr>
<tr>
<td>3b609</td>
<td>Zinc chloride hydroxide monohydrate (TBZC)</td>
<td></td>
</tr>
<tr>
<td>3b701</td>
<td>Sodium molybdate dihydrate</td>
<td></td>
</tr>
<tr>
<td>3b801</td>
<td>Sodium selenite</td>
<td></td>
</tr>
<tr>
<td>3b810, 3b8.11, 3b8.12, 3b813 and 3b817</td>
<td>Selenised yeast inactivated</td>
<td></td>
</tr>
<tr>
<td><strong>Zootechnical additives</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4a, 4b, 4c and 4d</td>
<td>Enzymes and micro-organisms in the category of “Zootechnical additives”</td>
<td></td>
</tr>
</tbody>
</table>

**Standards**

13.8.4 **Synthetic amino-acids and growth promoters are prohibited**

You must not use synthetic amino-acids or growth promoters

*EC* 834/2007 Art. 15(1)(d)(iv)

**Guidance**

13.9 **Disease prevention and veterinary treatment**

**Standards**

1. You must keep an animal health management plan. This must comply with *Council Directive* 2006/88/EC of 24 October 2006 on animal health requirements for aquaculture animals and products and on the prevention and control of certain diseases in aquatic animals.

2. It must detail the biosecurity and disease prevention practices you have put in place and include a written

**Guidance**

A copy of the written agreement of health counselling and your animal health management plan will be checked at inspection.
agreement for health counselling, proportionate to the production unit, with qualified aquaculture animal health services. They must visit the farm not less than once per year and for bivalve shellfish not less than once every two years.

(EC) 889/2008 Art. 25s (1)

<table>
<thead>
<tr>
<th>13.9.2 Disease prevention</th>
<th>In your aquaculture management plan detail information on design and management of your organic aquaculture system in relation to prevention of disease.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The design and management of your organic aquaculture system must rely primarily on preventive measures of disease control. This includes:</td>
<td></td>
</tr>
<tr>
<td>a) appropriate siting</td>
<td></td>
</tr>
<tr>
<td>b) optimal design of the holdings</td>
<td></td>
</tr>
<tr>
<td>c) the application of good husbandry and management practices</td>
<td></td>
</tr>
<tr>
<td>d) regular cleaning and disinfection of premises</td>
<td></td>
</tr>
<tr>
<td>e) high quality feed</td>
<td></td>
</tr>
<tr>
<td>f) appropriate stocking density, and</td>
<td></td>
</tr>
<tr>
<td>g) breed and strain selection.</td>
<td></td>
</tr>
<tr>
<td>2. The use of immunological veterinary medicines is allowed.</td>
<td></td>
</tr>
</tbody>
</table>

(EC) 834/2007 Art. 15(1)(f) (i) (iii)
(EC) 889/2008 Art. 79b (f)

<table>
<thead>
<tr>
<th>13.9.3 Fallowing</th>
<th>You must be able to demonstrate that the duration of your fallowing period will be sufficient to ensure good water quality and fish health and welfare. This will depend on site characteristics and management of the whole production area including water exchange and health and disease history.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Your control body will determine whether fallowing is necessary and the appropriate duration if so. In open water containment systems at sea, fallowing must take place after each production cycle. Fallowing is also recommended for production systems using tanks, fishponds and cages.</td>
<td>(EC) 889/2008 Art. 25s (3a)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>13.9.4 Cleaning structures during fallowing</th>
<th>Describe in your aquaculture management plan the steps you take to clean structures before fallowing.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. When you put a cage or other structure used for aquaculture animal production into fallow it must be emptied, disinfected and left empty before being used again.</td>
<td></td>
</tr>
<tr>
<td>2. You must remove uneaten fish feed, faeces and dead animals promptly to:</td>
<td></td>
</tr>
</tbody>
</table>
a) avoid risk of significant effect on water quality  
b) minimise disease risks, and  
c) avoid attracting insects or rodents.  

2. You may use ultraviolet light and ozone to clean structures only in hatcheries and nurseries.  

(EC) 889/2008 Art.25s (3)(c)(4)(5)

### 13.10 Veterinary treatments

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| **13.10.1 Disease treatment** | Provide details in your aquaculture management plan of:  
- identification of the relevant disease/infection present on the holding  
- types of treatment used and method of application  
- date of application and length of treatment  
- statutory and organic withdrawal period, and monitoring of effectiveness of treatment.  

The withdrawal periods are set out in standard 13.10.4. |
| 1. If despite the preventative measures you have put in place to protect animal health, a health problem arises, you may use the following veterinary treatments in order of preference:  
a) homoeopathic remedies  
b) plants and plant extracts (not those with anaesthetic effects)  
c) trace elements, metals, natural immunostimulants or authorised probiotics.  
2. Where these treatments are inappropriate or will not be effective to avoid suffering to the aquaculture animals, allopathic treatment must be used (see standard below).  

(EC) 889/2008 Art. 25t (1), Art. 79b(e) |
| **13.10.2 Allopathic treatment** |  
1. Allopathic treatment must be used immediately when no other method of treatment can prevent animal suffering or when required by compulsory eradication schemes. Routine prophylactic treatment with synthetic drugs is prohibited.  
2. Allopathic drug treatments can be used for a maximum of two treatments per year, with the exception of vaccines. However, if the production cycle is less than one year duration, you may treat your stock with only one allopathic treatment.  
3. If you have to treat your aquaculture animals with any veterinary medicinal product you must inform your |

Provide details in your aquaculture management plan of:
You must not sell aquaculture animals as organic if they have received more treatments than is permitted in these organic standards.

You must treat your animals if required by your national authority for the protection of human and animal health. *(EC) 834/2007 Art. 15 (f) (ii)(iii)(iv); Art. 25t (2)(5), Art. 79b(e)*

### 13.10.3 Parasite treatments

1. With the exclusion of compulsory control schemes operated by national authorities, you may use parasite treatments to a maximum of twice per year. However, if the production cycle is less than 18 months you may use parasite treatments once per year.
2. You must give preference to the use of cleaner fish for biological control of ectoparasites or freshwater, marine water and sodium chloride solutions. *(EC) 889/2008 Art. 25s (6)* *(EC) 889/2008 Art. 25t (3)*

You must obtain prior approval from your Certification Officer for all parasite treatments on each occasion.

Identification, treatment and prevention of parasites can be detailed in your aquaculture management plan.

Check with local agencies whether you need permission to use cleaner fish and outline how you ensure their welfare in your aquaculture management plan.

### 13.10.4 Withdrawal periods

You must employ the following withdrawal periods when treating your aquaculture species. *(EC) 889/2008 Art. 25t (4)(5)*

<table>
<thead>
<tr>
<th>Treatments</th>
<th>Organic withdrawal period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Allopathic veterinary and parasite treatments, including those under compulsory eradication and control schemes</td>
<td>Twice the legal withdrawal period</td>
</tr>
<tr>
<td>Treatments with no specified withdrawal period</td>
<td>48 hours</td>
</tr>
</tbody>
</table>

You must clearly identify aquaculture animals that have received veterinary medicinal treatments.

### 13.10.5 Storing veterinary medicines

You may store allopathic veterinary medicinal products and antibiotics on holdings provided that they have been prescribed by a veterinarian in connection with a treatment given under standard 13.10.2. They must be stored in a secure place. Record medicines
location and must be entered in the livestock record as required in standard 12.8. 

*(EC) 889/2008 Art. 35(3)*

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>13.10.6 The use of organophosphates and avermectin is prohibited</strong>&lt;br&gt;You must not use organophosphate or avermectin-based veterinary medicines. If fish are treated with organophosphates or avermectins they cannot be sold as Soil Association organic.</td>
<td>Soil Association organic status will be lost on animals treated with organophosphate or avermectin-based veterinary medicines. You must inform us when these treatments are used.</td>
</tr>
<tr>
<td></td>
<td>A sourcing requirement applies for SA processors.</td>
</tr>
<tr>
<td><strong>Soil Association higher standard</strong>&lt;br&gt;Organophosphates (OPs) are the basis for a wide and commonly used range of insecticides and in veterinary medicine are used to treat external parasites. Organophosphates are acutely toxic and have been linked with a range of problems including decreasing male fertility, foetal abnormalities, chronic fatigue syndrome and Parkinson's disease. Organophosphates are especially toxic to the aquatic environment and have a detrimental effect on marine species. Avermectins are a group of drugs (e.g. ivermectin) used to treat insect infestations in livestock. When used on aquaculture animals, residues are excreted with the faeces and have detrimental effects on the aquatic environment, particularly on sediment-dwelling organisms.</td>
<td></td>
</tr>
</tbody>
</table>

### 13.11 Transport

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>13.11.1 Live fish</strong>&lt;br&gt;1. If you transport live fish you must ensure that welfare of the fish is maintained. This includes:&lt;br&gt;   a) Transporting the fish in suitable tanks with clean water which meets their physiological needs in terms of temperature and dissolved oxygen.&lt;br   b) Thoroughly clean, disinfect and rinse tanks before transport of organic fish and fish products.&lt;br   c) Taking precautions to reduce stress. During transport, the density must not reach a level which is detrimental to the species.&lt;br&gt;2. You must keep records to demonstrate compliance with these transport requirements.</td>
<td>In your aquaculture management plan demonstrate how animals are transported and how you monitor to ensure good welfare is maintained. At inspection, we may check your records of oxygen levels, temperature, transport times, stocking densities and cleaning.</td>
</tr>
</tbody>
</table>

**Why?**

Organophosphates (OPs) are the basis for a wide and commonly used range of insecticides and in veterinary medicine are used to treat external parasites. Organophosphates are acutely toxic and have been linked with a range of problems including decreasing male fertility, foetal abnormalities, chronic fatigue syndrome and Parkinson's disease. Organophosphates are especially toxic to the aquatic environment and have a detrimental effect on marine species.

Avermectins are a group of drugs (e.g. ivermectin) used to treat insect infestations in livestock. When used on aquaculture animals, residues are excreted with the faeces and have detrimental effects on the aquatic environment, particularly on sediment-dwelling organisms.
### 13.12 Conversion periods

#### Standards

**13.12.1 Aquaculture conversion periods**

The following conversion periods for production units must be applied for the following types of aquaculture facilities including the existing aquaculture animals.

#### Guidance

Defra, the competent authority in the UK, has confirmed that the conversion of the production unit can take place when the site is stocked and being managed to organic standards. This allows the animals and the site to convert to organic production simultaneously. Requests for a reduced conversion period must be submitted to your Certification Officer who will seek approval from the competent authority.

<table>
<thead>
<tr>
<th>Type of facility</th>
<th>Conversion period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facilities that cannot be drained, cleaned and disinfected</td>
<td>24 months</td>
</tr>
<tr>
<td>Facilities that have been drained or fallowed</td>
<td>12 months</td>
</tr>
<tr>
<td>Facilities that have been drained, cleaned and disinfected</td>
<td>6 months</td>
</tr>
<tr>
<td>Open water facilities including those farming bivalve molluscs</td>
<td>3 months</td>
</tr>
</tbody>
</table>

Your conversion period may be reduced if you can demonstrate that your facilities were not treated or exposed to products not allowed in these organic standards before the start of your conversion period. You must have documented evidence of this and your competent authority must approve any reduction.
## 13.13 Bivalves

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
</table>
| **13.13.1 Scope of the standards**  
These standards cover the production of mussels (*Mytilus* species), native oysters (*Ostrea edulis*), Pacific, Japanese or cupped oyster (*Crassostrea gigas*). You must also read and comply with section 12.0 General rules of organic aquaculture. | Please see section 13.1 for guidance on suitable site selection. |

| **13.13.2 Growing area**  
1. You may establish a bivalve production unit in the same area of water as organic finfish and seaweed farming, also including gastropod molluscs such as periwinkles, in a polyculture system.  
2. Your growing area must be of high ecological quality and must be in waters which meet the Criteria for Class A or Class B areas as defined in Annex II of Regulation (EC) No 854/2004.  
3. Your bivalve production unit must be delimited by posts, floats or other clear markers and must be restrained by net bags, cages or other man-made means as appropriate.  
4. Organic shellfish farms must minimise risks to species of conservation interest.  
   *(EC) 834/2007 Art. 15(1)(e)(ii)(iii)  
*(EC) 889/2008 Art. 25n* | |

| **13.13.3 Nutritional requirements**  
Your bivalves must receive all their nutritional requirements from nature, except in the case of juveniles reared in hatcheries and nurseries.  
*(EC) 834/2007 Art. 15e(i)* | |

| **13.13.4 Predators**  
If you use predator nets, their design must not allow diving birds to be harmed.  
*(EC) 889/2008 Art. 25n (3)* | *In your aquaculture management plan, include details of how you prevent and deter predators. If you use predator nets, demonstrate in your plan how you ensure and monitor that these are not causing harm to diving birds or mammals.* |
### 13.13.5 Sourcing of seed

1. You may use wild seed from outside the boundaries of the production unit if permitted by local legislation and if it causes no significant damage to the environment, but the seed must come from:
   a) settlement beds which are unlikely to survive the winter or are surplus to requirements, or
   b) natural settlement of shellfish seed on collectors.
2. You must keep records of how, where and when wild seed was collected to allow traceability back to the collection area.

*(EC) 889/2008 Art. 25o*

### 13.13.6 Non-organic bivalve seed

You may use seed from non-organic bivalve shellfish hatcheries until 31 December 2016, but this must be between 0%- 50% of your total seed requirements.

Note – this standard is being reviewed by the EU Commission and is likely to be updated. There has been no update in 2018.

*(EC) 889/2008 Art. 25o*

The EU Commission has not published an update to this standard (as of April 2019) therefore there is currently no ability to use seed from non-organic bivalve shellfish hatcheries.

### 13.13.7 Cupped oyster

For the cupped oyster (*Crassostrea gigas*) you must preferably use stock which is selectively bred to reduce spawning in the wild.

*(EC) 889/2008 Art. 25o*

### 13.13.8 Bivalve management

1. Your stocking densities must not be above those used for non-organic shellfish in the locality. You must make adjustments to sorting, thinning and stocking density according to the biomass and to ensure animal welfare and high product quality.
2. You must remove biofouling organisms by physical means or by hand and where appropriate return them to the sea away from shellfish farms.

Include details of how you manage biofouling organisms in your aquaculture management plan.
3. You may treat shellfish once during the production cycle with a lime solution to control competing fouling organisms.  

**13.13.9 Cultivation**

1. You may use long-lines, rafts, bottom culture, net bags, cages, trays, lantern nets, bouchot poles and other containment systems.
2. You may only cultivate bivalves on the sea bed where it will not cause significant environmental impact at the collection or growing sites.
3. You must provide evidence of minimal environmental impact through a survey and report on the site and surrounding area to your certification body or the national control authority. The report must be added as a separate chapter to your sustainability management plan.  

**13.13.10 Specific cultivation rules for mussels**

For mussel cultivation on rafts the number of drop-ropes must not exceed 1/m² of surface area. The maximum drop-rope length must not exceed 20 metres. You must not thin-out drop-ropes during the production cycle, however you may sub-divide drop-ropes without increasing stocking density at the outset.  

**13.13.11 Specific cultivation rules for oysters**

You must meet the standard for mussel cultivation above (13.13.10). Additionally:

a) If you use cultivation in bags on trestles these must be set out to avoid the formation of a total barrier along the shoreline.

b) You must position the oysters carefully on the beds in relation to tidal flow, in order to optimise production.
### 13.13.12 Conversion and fallowing for bivalve mollusc production
You do not have to fallow sites for bivalve mollusc production.

(EC) 889/2008 Art. 25s 3 (b)

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### 13.14 Cleaning

#### Standards

**13.14.1 General cleaning measures**
1. You must have suitable cleaning measures in place to prevent contamination and maintain the integrity of your products throughout production, processing and storage.
2. You must monitor your cleaning measures to make sure they are effective and keep records to show that you have done this.
3. If you process or store both non-organic and organic at the same site, you must ensure organic processing or storage is only carried out once suitable cleaning of the equipment and/or storage area(s) has been carried out.

(EC) 889/2008 Art. 63(1)(c); Art. 26(4)(a)(b)(5)(e); Art. 35(4)(c)

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#### Guidance

For permitted cleaning chemicals in aquaculture facilities please refer to section 13.14.5.

In your aquaculture management plan set out your cleaning procedures, with details of how you clean harvesting/handling equipment, storage areas and equipment used for organic production. Explain how you limit the risk of contamination of organic product from microbial contaminants, from cleaning chemicals, non-permitted substances and from non-organic product. You will need to ensure your staff, or contractors using their own equipment, are trained to carry out effective cleaning to prevent contamination of your organic products.

Your cleaning procedures need to be clear and to set out what will be cleaned, how, with what frequency (e.g. daily, weekly, monthly or annually), who is responsible, what chemicals and equipment needs to be used and details of the final rinse of food contact surfaces with potable water (where appropriate).

**Cleaning chemicals**
Detergents, disinfectants, sterilisers and sanitisers allowed for use in the food industry may be used for cleaning equipment and storage areas. Residues of these chemicals must be removed from surfaces in contact with organic food so that they do not contaminate organic products.
Sanitizers containing quaternary ammonium compounds or QACs/QUATs, such as Benzalkonium Chloride (BAC) or Didecyl Dimethyl Ammonium Chloride (DDAC) are difficult to remove from surfaces, and if not adequately rinsed will result in residues in the organic product. Brand names include Deosan, Detsan, Foamsan, Quatsan.
If you use these to clean harvesting/handling equipment, storage boxes, dairy equipment or work surfaces which are in direct contact with organic products, you need to take measures to ensure they are not contaminating your organic product. For example:
- Switch to a cleaning product that does not contain QACs or other substances difficult to rinse and likely to contaminate products that come in contact with them.
- Check whether your rinsing procedures are sufficient by testing food contact surfaces to ensure no residues remain.

**Non-dedicated equipment**
Where non-dedicated equipment or storage is used you must be able to demonstrate that the cleaning carried out before it is used for organic products is effective. This may require sampling or swabbing for analysis to demonstrate that the procedures you have in place are effective.

If you process or store non-organic aquaculture products you will need to have a system for checking that cleaning has been undertaken and that it is effective to remove residues of non-organic material and/or previous production. This could involve visual inspection, micro-biological testing, testing to ensure sanitisers have been removed from organic food contact surfaces or ATP testing.

### 13.14.2 Bio-fouling
You must remove bio-fouling organisms only by physical means and, where appropriate, return them to the sea at a distance from the farm.

*EC 889/2008 Art. 6e (1)*

In your aquaculture management plan detail how you manage the removal of bio-fouling organisms.

### 13.14.3 Cleaning equipment
You must only clean equipment and facilities by physical or mechanical measures. Where this is not satisfactory, only the substances in standard 13.14.5 may be used.

*EC 834/2007 Art. 15g
EC 889/2008 Art. 6e (2)*

### 13.14.4 Cleaning and disinfecting
You must properly clean and disinfect the holding systems, equipment and utensils on the production unit. You may only use products allowed in these standards.

At inspection we may ask you to demonstrate how you clean and disinfect the holding systems using the products allowed, and the frequency of cleaning.
### Products for cleaning and disinfection for aquaculture animals and seaweed production

1. You may only use products which contain the following active substances, for cleaning and disinfection of equipment and facilities in the absence of aquaculture animals (*):
   - a) Ozone
   - b) sodium hypochlorite
   - c) calcium hypochlorite
   - d) calcium hydroxide
   - e) calcium oxide
   - f) caustic soda
   - g) alcohol
   - h) potassium permanganate
   - i) tea seed cake made of natural camelia seed only for shrimp production
   - j) mixtures of potassium peroxomonosulphate and sodium chloride producing hypochlorous acid.

2. You may use only products which contain the following active substances, for cleaning and disinfection of equipment and facilities in the presence and absence of aquaculture animals (**):
   - a) limestone (calcium carbonate) for pH control
   - b) dolomite for pH correction (use restricted to shrimp production)
   - c) sodium chloride
   - d) hydrogen peroxide
   - e) sodium percarbonate
   - f) organic acids (acetic acid, lactic acid, citric acid)
   - g) humic acid
   - h) peroxyacetic acids
   - i) peracetic and peroctanoic acid
   - j) iodophores (only in the presence of eggs).

Your cleaning procedures must document what techniques and products you use.

### 13.15 Pest control

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>13.15.1 Preventing contamination by pests and pest control products</strong>&lt;br&gt;1. You must design and operate your buildings and controls to reduce the risk of contamination by pests. In areas used for housing livestock you must remove faeces, urine and uneaten or spilt food as often as necessary to keep smells to a minimum and avoid attracting insects and rodents.&lt;br&gt;2. You must ensure when implementing preventative measures in organic areas that you take precautionary measures to reduce the risk of contamination of organic products.</td>
<td>In your aquaculture management plan describe the measures you have in place to reduce the risk of contamination by pests. This should include measures to prevent and control wild birds, rodents and insects from getting into your buildings such as:&lt;ul&gt;&lt;li&gt;fly screens&lt;/li&gt;&lt;li&gt;effective covers of waste bins&lt;/li&gt;&lt;li&gt;sealing gaps and entry points.&lt;/li&gt;&lt;/ul&gt;If you use pest control treatments in areas not used for organic production or storage, you must still assess the risk of contamination and take appropriate preventative measures.</td>
</tr>
</tbody>
</table>

(EC) 889/2007 Art. 23(4); Art. 63(1)(c)

| **13.15.2 Treating infestations in organic products or areas used for organic products**<br>If you find infestation in organic products, on sacks or containers, in areas used for handling/storing organic products or in areas not used for organic products, you must | If you use pest control methods, you will need to keep records of:<ul><li>what pests you have found</li><li>what chemicals, methods and equipment you used on them</li></ul> |

| | |
only use pest control methods which do not contaminate the organic product. 

(EC) 889/2008 Art. 63(1c)

- who did the treatment, when and which area or equipment was treated, and
- what precautions you took to prevent contamination of organic products

Rodenticides must be used only in tamper-proof bait stations and in places where there is no risk of contaminating products.

If you use pest control treatments in areas not used for organic production or storage, you must still assess the risk of contamination and take appropriate preventative measures.

You should make your pest control contractor aware that your unit is handling organic products and that you must comply with pest control procedures in section 13.15 of Soil Association standards.

Control methods on organic products
Control methods which are appropriate for use on organic products include:
- carbon dioxide or nitrogen
- freezing and heating
- vacuum treatment

Control methods in organic areas
Control methods which are appropriate for use in organic areas include, but are not limited to:
- desiccant dusts such as diatomaceous earth and amorphous silica, preferably from naturally occurring sources
- electric flying insect control units, with shatterproof tubes that are positioned and cleaned correctly
- tamper resistant bait stations that contain legally approved pesticides
- sticky boards for insects
- humane electronic rodent repellents such as floor mats

13.15.3 Treating infestations in livestock housing
If you find an infestation in areas used for housing organic livestock, you must only use the pest control products and rodenticides listed in Annex II of Regulation (EC) No

If you use pest control methods, you will need to keep records of:
- what pests you have found
**889/2008.** You must ensure that you take precautionary measures to reduce the risk of contamination of organic products or toxicity to livestock.  
EC 889/2008 Art. 23(4); Art. 63(1)(c)

- what chemicals, methods and equipment you used on them  
- who did the treatment, when and which area or equipment was treated, and  
- what precautions you took to prevent contamination of organic products and toxicity to livestock.

| 13.15.4 Using rodent glue boards | Glue boards should only be used as a last resort and you will need permission from your certification officer before using them. You’ll need to let us know what measures you’ve already tried, such as bait stations and proofing the unit.  

**Soil Association higher standard**

1. You may only use glue boards for rodents as a last resort and you must:
   a) provide evidence to show that other methods of trapping have failed or are not appropriate, before you use the glue boards  
   b) use them according to industry best practice  
   c) check rodent glue boards at least once every 12 hours including at weekends and Bank Holidays, as required by the Pest Management Alliance code of practice, and  
   d) keep a record of each check.  

**Records of checks**

Glue boards should not be viewed as a permanent solution to a pest problem. Your certification officer is able to give permission to use glue boards but only for short periods of time to allow you to deal with the pest issue. Your pest controller will be able to make recommendations for how many trappings will be required.

This standard applies to the whole licensed unit. However, we recognise that in some cases you may not have ownership or control over the whole site – e.g. if you are renting a room in a storage facility. In these cases you must make all efforts possible to create a dialogue with the building manager and/or the pest control company responsible for the site to ensure that you are consulted prior to use of glue boards, or other pest control measures which could affect your organic status, such as fogging.

Please follow this [link](#) to the Code of Practice on the Humane Use of Rodent Glue Boards.

**Why?**

In order to protect public health within high-risk environments, the use of rodent glue boards remains an important last option when all other control methods have been considered and deemed ineffective. However, their use does raise serious animal welfare concerns. This standard ensures that glue boards are only used as a last resort and only by persons who have been given adequate training and are competent in the effective and humane use of this technique.
## 13.16 Transport, dispatch and receipt of goods

<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
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</thead>
<tbody>
<tr>
<td><strong>13.16.1 Collection of products and transport to preparation units</strong>&lt;br&gt;If you are collecting organic and non-organic products at the same time, you must have measures in place to prevent any possible mixing or exchanges and you must be able to clearly identify the organic products. Your collection records need to indicate the collection days, hours, collection circuit and the time and date when products were received.</td>
<td><img src="image" alt="Collection records." /></td>
</tr>
</tbody>
</table>

(EC) 889/2008 Art. 30

**13.16.2 Labelling & transporting products**

1. If you send an organic product to another company, including retailers, wholesalers and other licensees for further processing, packing or re-labelling then you must:<br><br>a) ensure it is transported in a way that would prevent substitution.<br>b) label it clearly, either on the product or on accompanying documentation undeniably linked to it so that the recipient can easily identify:<br><br>i) the product and its organic status<br>ii) the name and address of the operator, and, if different, the seller or owner of the product<br>c) include your certification code, traceability code and % organic content of the product (if less than 95%). If this information is provided on the accompanying documentation, it must also include information on the supplier and/or transporter.<br><br>2. You do not need to use closed packaging, containers or vehicles if:<br><br>a) transportation is between two organically certified operators<br>b) products are accompanied by a document containing the information required in point 1b above

For additional requirements for labelling of retail packed products, please refer to section 13.18.

If your product is not prepacked for retail, or it goes on for further processing, you can put ingredient information either on the label, or on a document with the product provided it can be clearly linked with the product. For example, grain moved from a dryer to a mill would need to be accompanied by a delivery note with full supplier address, product information (including organic status), batch, haulier and vehicle identification and consignee address.

Labelled packaging helps identify organic products and keeps them sealed which limits the risk of contamination and substitution. However there are products that need to be transported in loose bulk, for example milk on a tanker or fruit and vegetables in open top boxes.

Records of transportation of loose organic products

However you choose to transport your products, you will need to make sure you have minimised the risk of contamination or substitution with non-organic products using clear labelling and separation. For example, if you are transporting loose fruit and vegetables in open top boxes, consider transporting the organic or non-organic products in separate vans. Or, close the tops of the boxes containing organic to prevent accidental contamination.
c) both the sending and receiving operators keep records of the transportation.  

(EC) 889/2008 Art. 31(1)(2)

3. You must include the words 'Soil Association Organic' or the Soil Association symbol on the packaging of products certified according to Soil Association standards.  

Soil Association higher standard

Why?

Soil Association certified products have been produced and processed to organic standards that are higher than the EU organic regulation. Writing ‘Soil Association Organic' on the packaging helps to identify products that have met these higher standards.

13.16.3 Receiving organic products

When you receive an organic product you must check upon delivery that the product is labelled according to standard 13.16.2 above and packed appropriately so that it cannot be mistaken or mixed up with other products. You must crosscheck that the label on the product matches the information on the accompanying documents and provide an account of how you check goods upon receipt.

(EC) 889/2008 Art. 33

When receiving goods from other units or operators you need to have a system in place for checking the organic status of the products and have records to show these checks are always made.

Please see the record keeping standards in section 12.8 for details of the information you will need to record.

If you cannot be sure about the organic status of a delivery, for example if information is missing or incorrect, you will need to either:
- get written confirmation from the supplier
- send it back
- sell it as non-organic
- use it in non-organic products

13.16.4 Additional rules for transporting feed

1. In addition to standard 13.16.2, when transporting feed you must:
   a) ensure that the transport of organic feed, in-conversion feed and non-organic feed is effectively separated physically
   b) ensure that the transport of finished organic feed is effectively separated physically or in time from the transport of other finished products
   c) label it clearly, either on the product or on accompanying documentation undeniably linked to it so that the recipient can easily identify:

Records of cleaning measures

Records of all transport operations
d) the product or a description of the compound feedstuff and its organic status  
e) the name and address of the operator, and, if different, the seller or owner of the product.
2. If you use vehicles or containers that have been used to transport non-organic products, you must:  
a) ensure they are thoroughly clean before transporting organic products. The cleaning measures used must be appropriate to the risks, and the effectiveness of the measures must be checked before transporting organic products  
b) assess and implement measures to ensure that non-organic feed cannot be mistaken or mixed up with organic. Where necessary you may be asked to guarantee this  
c) keep documentary records of these transport operations.
3. You must keep records of transport operations, including the quantity of products at the start and of each individual quantity delivered.

(EC) 889/2008 Art. 31(1)(b); Art. 32

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<th>13.17 Storage of products</th>
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<tr>
<td><strong>Standards</strong></td>
</tr>
<tr>
<td><strong>13.17.1 General separation</strong></td>
</tr>
<tr>
<td>You must manage your organic storage areas and containers to avoid any mixing with or contamination from products or substances that we do not allow in these standards. Your organic storage areas, containers and products must be clearly identifiable at all times.</td>
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</table>

(EC) 889/2008 Art.35(1)
### 13.17.2 Handling and separating organic and non-organic products

When you use the same equipment and premises to store and handle both organic and non-organic products you must:

a) minimise the risk of mixing organic products with other products and foodstuffs by clearly identifying and separating them during the production process, and

b) effectively clean equipment and storage areas used to handle or store non-organic products before handling or storing organic products.

*(EC) 889/2008 Art.35(4); 26 (3)*

Also refer to section 12.9, for details of contamination and products and substances we do not allow.

### 13.17.3 Storing unauthorised inputs on organic units is prohibited

The storage of inputs in organic plant, seaweed, livestock and aquaculture production units, which are not permitted under these standards is prohibited.

*(EC) 889/2008 Art.35(2)*

Storing any non-permitted input product on the organic production unit is prohibited. This includes, but is not exclusive to non-permitted fertilisers, cleaning and disinfection products and anti-fouling substances.

### 13.18 General Labelling

#### Standards

**13.18.1 Using the term organic**

If you wish to refer to organic in relation to an agricultural food or feed product anywhere on a label, in advertising materials or commercial documents, you must meet the requirements of these standards.

*(EC) 834/2007 Art. 23(1)*

**Guidance**

Labelling refers to the way in which you identify your products and show their organic status. The labelling standards apply to:

- retail packaging
- bulk packaging
- the labelling of loose produce for sale in retail outlets
- information on delivery notes or invoices for products that are transported in bulk, such as milk
- marketing materials
- web content.
This includes reference to organic not just in the product name or sales description, but also in relation to ingredients of a food or feed product. For example, a cereal bar making organic claims about some of the ingredients may only do so if the cereal bar is certified to the organic regulation.

This only applies to food and feed products. However, if you make such claims on non-food and feed products, (such as textiles, health and beauty products, pet food), your claims must still be true. In the UK all products are governed by the *Trade Descriptions Act*.

Examples of other references to organic include, "organically grown"; "organically produced"; "grown/produced using organic principles"; "grown/produced using organic methods".

**Labelling legislation**
Along with meeting these standards for labelling, you will also need to make sure your labels meet other relevant labelling legislation such as *Regulation 1169/2011* on the provision of food information to consumers, and the *Food Information Regulations*.

### 13.18.2 Using the EU organic logo

1. You must display the EU logo on labels of packaged organic products produced in the EU.
2. The EU logo is published for use in green as shown below. The reference for single colour printing is Pantone 376, or if you print using four colour process, 50% cyan, 100% yellow.

The use of the logo is mandatory for all organic pre-packaged food produced within the European Union. The terms of its use are set by the EU and more information can be found online.

You can download the EU logo in various formats from here.

The white EU logo with the black stars is designed to be used on a dark background only. When the EU logo is used it must appear within a box or a black outline.
Where colour is not possible you may use black and white.

3. The EU organic logo must:
   a) appear at least 9mm high and 13.5mm wide, or
   b) appear 6mm high for very small packages, and
   c) have a proportional height to width ratio of 1:1.5.

4. The EU organic logo may appear:
   a) in negative, if the background of your packaging is dark.
   b) in the single colour of your packaging if you are only able to print one colour.
   c) with an outer line around it to improve how it stands out on coloured backgrounds.

If your product is being packed outside the EU, you do not need to apply the EU logo. However, due to the widespread recognition of the EU logo across Europe you may wish to apply it if the products are destined for the EU market.

Products without packaging do not need to display the EU logo (see standard 13.16.2 for details of what you need to include).
d) in conjunction with other logos and text referring to organic, providing this does not overlap, obscure or change the logo.

5. You do not have to use the EU organic logo on products imported from countries outside the EU, but if you do, you must also use the declaration of where the ingredients have been farmed and the certifier code. If you do not use the EU logo and code, you must identify your certifier by name.


### 13.18.3 Declaring ingredient origin

1. Where the EU logo is used you need to include a declaration of where the ingredients have been farmed as 'EU agriculture', 'non-EU agriculture', or 'EU/non-EU agriculture'. This must appear:
   a) in the same visual field as the EU organic logo;
   b) below the certifier code, and
   c) no more prominent than the sales description.

2. You can replace 'EU' or 'non-EU' with a particular country if all ingredients were farmed there. You do not have to count small amounts of ingredients up to a total of 2% of the agricultural ingredients.

(EC) 834/2007 Art. 24(1c)
(EC) 889/2008 Art. 58(2)

The declaration should be placed directly underneath the certifier code and needs to be in the same visual field as the EU logo.

### 13.18.4 Using the Soil Association symbol on products

1. You can only use the Soil Association symbol on organic products that meet the Soil Association standards.

2. You must reproduce the symbol from original artwork and it must appear:
   a) complete and upright
   b) in proportion to the product description
   c) at least 10mm in diameter (example 'A')
   d) in black or white (examples 'B' and 'C')
   e) clearly visible
   f) clear and legible over the whole of a background, for example if used over a photograph (example 'D')

(For more information on how to become certified to the Soil Association standards and the use of our symbol, please refer to section 12.3.
Retailers who are exempt from being certified (standard 12.3.2) may sell Soil Association certified products which include the SA symbol on their labelling, and make use of the Soil Association symbol in the marketing of those products provided it is clear and unambiguous as to which products the symbol applies.

You can download the symbol pack directly from our website. We also have the symbol available for use in Welsh.

If you are using a Soil Association certified sub-contractor to label your product they may apply the Soil Association symbol to your packaging. Organic
3. If you wish to use the symbol at a smaller size than 10mm in diameter (for example on very small packaging) or in a colour other than black and white, you must seek permission first.

4. The symbol must not appear:
   a) against a background that affects the legibility of the symbol (example ‘E’)
   b) incomplete
   c) at an angle
   d) within an extra circle either of an outline or solid colour (example ‘F’)
   e) in more than one colour (example ‘G’)
   f) with a different font or typeface (example ‘H’)

5. Examples of how not to use the symbol are shown below.
The Soil Association symbol is the most recognised organic trademark in the UK and has gained the trust, respect and confidence of consumers and producers across the globe. The Soil Association symbol demonstrates that an organic food or non-food product meets our higher standards for animal welfare, health, consumer protection and the protection of the natural environment.

### Standards

<table>
<thead>
<tr>
<th><strong>13.18.5 Using the Soil Association symbol off-product</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>You may use the symbol on company stationery, promotional literature and websites if we certify a range of your products, providing it is not misleading to the consumer as to which products the symbol applies.</td>
</tr>
</tbody>
</table>

### Guidance

- You can download the symbol pack directly from our [website](#). We also have the symbol available for use in Welsh.

### Why?

- The Soil Association symbol should only be used in relation to products or enterprises certified to Soil Association standards to avoid misleading consumers.
### 13.19 Making claims on your labels

#### Standards

<table>
<thead>
<tr>
<th><strong>13.19.1 Using accurate descriptions</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The term ‘organic’ can only be used to describe products (in labels, advertising and commercial documents on products) that meet the requirements of these standards, unless the term is not being used in relation to agricultural products in food or feed, or clearly have no connection to organic production.</td>
</tr>
<tr>
<td>2. You must <strong>not</strong> use any terms, including terms used in trademarks, labels or advertising, that could mislead consumers into believing products are organic when they are not.</td>
</tr>
</tbody>
</table>

*(EC) 834/2007 Art.23(2)*

#### Guidance

- **Substantiating claims**
  - You will need to be able to substantiate any claims that you make on your labels. For example: You should not use phrases such as ‘GMO free’ unless you can prove this, if challenged. Instead you could use:
    - ‘organic standards prohibit the use of GM materials’, or
    - ‘non-GM’.
  - You should not use phrases such as ‘pesticide free’ unless you can prove this, if challenged. Instead you could use:
    - ‘organic agriculture aims to avoid the use of artificial pesticides and fertilisers’
    - ‘organic standards restrict the use of artificial pesticides and fertilisers’, or
    - ‘grown under organic standards which minimise the use of artificial pesticides and fertilisers’.

- **Labelling must not be misleading.**
  - You need to make sure that the way you label your products is not misleading. For example, if:
    - you label your product as ‘organic mint biscuits’, it must contain organic mint.
    - your product does not contain organic mint, you can only label it as ‘organic biscuits with mint’.
    - you label your product as ‘organic strawberry ice cream’ it needs to contain organic strawberries.

We worked closely with the Advertising Standards Authority to draw up a document of approved advertising claims you can make when selling organic. You can find a copy on our website.
- your product does not contain organic strawberries but uses a natural strawberry flavouring instead, it could only be labelled as ‘organic ice cream with strawberry flavour’.
- your company name includes the word organic, you cannot use it on non-organic products. For example, you could not use the name ‘Brown Farm Organics’ on non-organic products.

If you produce organic and non-organic lines in the same range, you need to make sure that the packaging is sufficiently distinguished (for example by colour, design or wording) to prevent confusion.

**Labelling claims**

Food labelling legislation is harmonised at an EU level. In England, responsibility for food labelling legislation and policy is split across Defra, the Food Standards Agency (FSA) and the Department of Health (DH). For Scotland, Wales and Northern Ireland all domestic standards legislation is the responsibility of the FSA.

Visit this [website](#) for details.

### 13.19.2 Aquaculture products labelling

1. You must not label wild-caught aquaculture animals as organic.
   
   *(EC) 834/2007 Art.1(2)*

2. You must describe organic fish as farmed in the sales description and in any advertising literature.

   *Soil Association higher standard*

3. For multi-ingredient products containing organic fish, you must refer to the fact they are farmed somewhere on the label.

   *Soil Association higher standard*

**Organic aquaculture products include:**

- fish
- shellfish
- prawns
- seaweed
- micro-algae

**Why?**

These standards are intended to provide transparency and clarity for consumers about the origin of organic fish. Fish can only be called organic if they have been farmed to organic aquaculture standards. Wild-caught fish can never be described as organic. By requiring labels to specify that organic fish are farmed, consumers are less likely to be confused about how organic fish are produced.
<table>
<thead>
<tr>
<th>Standards</th>
<th>Guidance</th>
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</table>
| **13.19.3 Labelling requirements for licensees selling direct to consumers (including retailers, farm shops and farmers’ market stalls)**<br>You must display your certificate of registration in a way that is clearly visible to your customers. If only some of your products are organic or if your organic supply is sporadic, you also need to provide additional information so that it is clear which products or produce the organic certificate refers to.  

*Soil Association higher standard* | In order to make it clear which products your organic certificate relates to, you could also display your trading schedule which lists all the products you are certified to sell. If your organic supply is sporadic, or if the certificate only relates to some items that you are selling, you could add an explanatory note making it clear which products the certificate relates to, and how these are indicated.  

Display the certificate in a sensible location in store. For example, if your store is only licensed to cover the loose fruit and vegetables you sell, then put the certificate near the produce.  

Online retailers do not have to include their certificate of registration on their website, but they must indicate which products are covered by their Soil Association organic certification. Refer to use of Soil Association symbol in standard 13.18.4. |
| **Why?**<br>This standard helps to avoid misleading consumers by making it clear which products on sale are organic. |
### 13.20 Packaging

#### Standards

<table>
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<tr>
<th>13.20.1 Scope</th>
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<tbody>
<tr>
<td>1. These standards apply to packaging of products that you introduce into the supply chain.</td>
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<tr>
<td>2. We define packaging as all primary (retail), secondary (grouping, display) and tertiary (transport) materials used for:</td>
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</tr>
<tr>
<td>a) Containing</td>
<td></td>
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<tr>
<td>b) Protecting</td>
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<tr>
<td>c) Preserving</td>
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<tr>
<td>d) Handling</td>
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<tr>
<td>e) Storage</td>
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<tr>
<td>f) Delivery</td>
<td></td>
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<tr>
<td>g) Labelling</td>
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<tr>
<td>h) marketing, and</td>
<td></td>
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<tr>
<td>i) presentation of your products.</td>
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<tr>
<td>Note – we include bulk bins but not transport pallets in this definition.</td>
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</tbody>
</table>

#### Guidance

- **Packaging legislation**
  
  Keep in mind that you must make sure that your packaging meets all relevant legislation relating to packaging, packaging waste and materials in contact with food.
  
  For example, for products sold in the EU such legislation would include, but is not limited to:
  
  
  - the [European Standard for Compostable Packaging (EN13432)](https://www.iso.org/obp/iso-4755-pubpage?scope=iso%3a13432%3a2000-01&file=13432_complete.pdf) – if you are using compostable or biodegradable packaging.
  
  Environmental information claims and symbols on your packaging need to be clear, truthful and accurate. In the UK, you will need to make sure your packaging conforms to [Defra’s Green Claims code](https://www.defra.gov.uk/environment/food/organic/index.htm).

- **Soil Association higher standard**

#### Standards

<table>
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<tr>
<th>13.20.2 Cellulose-based materials</th>
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</thead>
<tbody>
<tr>
<td>If you use cellulose-based materials, such as corrugate, bleached paper or cardboard, it must be totally chlorine free (TCF) or elemental chlorine free (ECF). Recycled paper must be process chlorine free (PCF).</td>
<td></td>
</tr>
</tbody>
</table>

#### Guidance

- Demonstrate that you have not used these materials, for example by having written confirmation from your supplier.

- **Soil Association higher standard**

#### Why?

The production, use and disposal of packaging can have a big impact on the environment and human health. We believe that organic products should be packaged in ways that reduce the negative impacts of packaging. This fits with the principles of protecting the environment and biodiversity that underpin organic food and farming and meets consumer expectations of organic products.

Packaging serves an important role in preventing food waste by protecting and extending the shelf life of products. It also helps to protect consumers by preventing contamination and substitution of organic products with non-organic alternatives. These packaging standards aim to maximise the benefits and avoid the negative impacts of packaging.
The use of chlorine bleaching has a high environmental impact and its manufacture can result in the release of toxic chemicals such as dioxins and other pollutants.

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<tr>
<th>Standards</th>
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</thead>
<tbody>
<tr>
<td><strong>13.20.3 Aluminium foils</strong></td>
<td>Demonstrate that you have not used these materials, for example by having written confirmation from your supplier.</td>
</tr>
<tr>
<td><strong>You must not</strong> use unlacquered aluminium foils to package food which is acidic (with a pH less than or equal to 4.5) or salty (containing more than 2% salt).</td>
<td>Soil Association higher standard</td>
</tr>
</tbody>
</table>

**Why?**

Aluminium has been linked with the onset of Alzheimer’s disease and other degenerative mental states. Lacquering the foil prevents the aluminium from reacting with food acids. Producing safe and healthy food is an important principle of organic food processing.

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<th>Standards</th>
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<tbody>
<tr>
<td><strong>13.20.4 Plastic materials, coatings, dyes or inks</strong></td>
<td>Demonstrate that you have not used these materials, for example by having written confirmation from your supplier.</td>
</tr>
<tr>
<td><strong>You must not</strong> use plastic materials, coatings, dyes or inks that contain phthalates if they will be in direct contact with foodstuffs.</td>
<td>Soil Association higher standard</td>
</tr>
</tbody>
</table>

**Why?**

Phthalates can have a negative impact on human health, for example they have endocrine disrupting properties.

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</thead>
<tbody>
<tr>
<td><strong>13.20.5 PVC</strong></td>
<td>Demonstrate that you have not used these materials, for example by having written confirmation from your supplier.</td>
</tr>
<tr>
<td><strong>You must not</strong> use polyvinyl chloride (PVC) unless alternative materials are not available or are functionally unsuitable, as listed in the guidance section of this standard.</td>
<td>Soil Association higher standard</td>
</tr>
</tbody>
</table>

You may use other chlorinated plastics, such as PVdC.

There are some specific circumstances where we are aware that no suitable alternatives to PVC currently exist yet. These include:

- metal jar lids or caps (e.g. for jams, sauces and baby food), and
- tamper evident seals on jar lids or caps.

The Soil Association's Packaging Working Group will review this list on a regular basis.
You may use metal jar lids, caps and tamper evident seals that contain PVC, however you will need to make your packaging supplier aware that a PVC free alternative is preferable should it become available.

PVC film overwrap may be used where a non-PVC film is unavailable in suitable quantities or is not fit for purpose. If you wish to use a PVC film wrap please contact your Certification Officer. We will need evidence from you and your suppliers that a PVC free alternative is either not available or not suitable for the purpose you intend. You may continue to use PVC in these cases until a suitable alternative becomes available. Each year we will contact you to see if you have found a suitable PVC free alternative.

Why?

The production, use and disposal of PVC is associated with a range of environmental and human health issues. PVC often contains additives designed to improve flexibility and plasticity, including phthalates. PVC can also contain other toxic substances such as chlorinated paraffins, organic tin compounds and alkyl phenols.

The environmental hazards of PVC go beyond those associated with other plastics. Some of today's most worrying environmental contaminants are released during the production of PVC or its feedstocks and during the disposal of PVC products.

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<tr>
<th>Standards</th>
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<tbody>
<tr>
<td>13.20.6 Non-GM packaging</td>
<td>Adequate demonstration of non-GM for packaging materials includes:</td>
</tr>
<tr>
<td>You must not use packaging materials or substances that contain, have been derived from, or manufactured using genetically modified organisms or genetically engineered enzymes, unless alternative materials are functionally unsuitable or not available, as indicated in the guidance section of this standard.</td>
<td>• Raw materials made from organic crops</td>
</tr>
<tr>
<td></td>
<td>• Non-GMO Project certification</td>
</tr>
<tr>
<td></td>
<td>• IP or PCR testing results for the raw materials</td>
</tr>
<tr>
<td></td>
<td>Polylactic Acid (PLA) is sometimes used for compostable or biodegradable packaging. PLA is a biopolymer made from natural sugar sources and many of these sugar sources are high GM risk (such as sugar beet and maize).</td>
</tr>
<tr>
<td></td>
<td>Only PLA from non-GM sources can be used in the packaging of organic products. This includes teabags. You will need to provide a non-GM declaration to prove the PLA you use is not produced from or by GM.</td>
</tr>
<tr>
<td></td>
<td>There are some cases where it is not possible to trace the source feedstock of packaging materials in order to verify whether or not it is derived from GM, or there are no suitable alternative options which are non-GM. An example of</td>
</tr>
</tbody>
</table>
this is lids containing epoxydised soybean oil (ESBO). In cases where there is no functional alternative, we can give you permission to use the packaging. This permission would be subject to annual review and may be revoked should a technological alternative appear on the market in sufficient quantity.

This standard also applies to cotton teabag strings. Using organic teabag strings means you automatically meet the requirements of this standard. If your tea bag strings are non-organic you will need to provide details of the country of origin of the cotton used in them, and/or an IP certificate to prove they are not made with genetically modified cotton.

Any permissions granted will be reviewed by the Soil Association’s Certification Committee on an annual basis.

Genetic modification (GM) is counter to the principles and practice of organic food and farming and does not meet consumer expectation of organic products. Whilst most packaging derived from GM materials no longer contain GM DNA, they are still derived from raw materials which have been genetically modified.

### Standards

**13.20.7 BPA and other bisphenols in food-contact materials**

You must not intentionally use Bisphenol A (BPA) or other bisphenols in materials that will be in direct contact with foodstuffs.

*This Standard comes into effect from May 2020*

### Guidance

Bisphenol A (BPA) is a chemical found in some plastics and used in the manufacture of epoxy resins. It is commonly found in the linings of some food and beverage cans. Alternatives to BPA include epoxy-phenolic, modified polyester and acrylic.

The wording ‘intentionally use’ refers to the fact that some materials are classified as BPA-NI, where “NI” stands for ‘non-intentional’. This classification means that although there is no BPA added as a constituent of a lacquer, BPA may be present in the pipework, raw material packaging, processing equipment etc. and small amounts may be picked up by the finished product during production. Although you should avoid them where possible, you can still use BPA-NI materials for the time being. We will monitor the situation with BPA-NI materials with a view to totally eradicating BPA from all food contact materials in due course.

Type 7 plastics may be made from BPA. Type 3 plastics (PVC) could also contain BPA, but only in the case of flexible PVC which is prohibited under [standard ref] of these standards.

Soil Association higher standard

### Why?

Genetic modification (GM) is counter to the principles and practice of organic food and farming and does not meet consumer expectation of organic products. Whilst most packaging derived from GM materials no longer contain GM DNA, they are still derived from raw materials which have been genetically modified.

*This Standard comes into effect from May 2020*
Demonstrate that you have not used BPA or other bisphenols in your food contact materials, for example by having written confirmation from your supplier.

In order to allow time for licensees to source and trial alternative materials, this standard will be enforced from May 2020 but licensees should switch to bisphenol-free food contact materials as soon as possible.

### Why?

Studies have shown that BPA has endocrine disrupting properties and toxic effects on our ability to reproduce. Studies have also raised serious concerns over other bisphenols that are sometimes used as an alternative to BPA, such as BPAF, BPB and BPZ. The toxic effects of Bisphenols are evident even at low concentrations.
**Annex I – fertilisers and nutrients**
The following substances can only be used for fish in inland waters as described in standard 13.4.8

<table>
<thead>
<tr>
<th>Name - Compound products or products containing only materials listed hereunder</th>
<th>Description, compositional requirements, conditions for use</th>
<th>Soil Association additional conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mushroom culture wastes</td>
<td>This must be initially made from products permitted in this table.</td>
<td></td>
</tr>
<tr>
<td>Composted or fermented mixture of vegetable matter</td>
<td>Composts obtained from mixtures of vegetable matter which has been submitted to composting or to anaerobic fermentation for biogas production.</td>
<td></td>
</tr>
<tr>
<td>Products and by-products of plant origin for fertilisers</td>
<td>Examples: oilseed cake meal, cocoa husks, malt culms</td>
<td></td>
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<tr>
<td>Hydrolysed proteins of plant origin</td>
<td>As far as directly obtained by: (i) physical processes including dehydration, freezing and grinding (ii) extraction with water or aqueous acid and/or alkaline solution (iii) fermentation</td>
<td>You must not use calcified seaweed, lithothamne or maerl if extracted from the sea.</td>
</tr>
<tr>
<td>Seaweeds and seaweed products</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sawdust and wood chips, composted bark and wood ash</td>
<td>The wood must not have been chemically treated after felling.</td>
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<tr>
<td>Soft ground rock phosphate</td>
<td>Product as specified in point 7 of Annex 1 A.2 of Regulation (EC) No 2003/2003. The cadmium content must be less than or equal to 90 mg/kg of P$_2$O$_5$.</td>
<td></td>
</tr>
<tr>
<td>Aluminium-calcium phosphate</td>
<td>Product as specified in point 6 of Annex I A.2 of Regulation (EC) No 2003/2003. The cadmium content must be less than or equal to 90 mg/kg of P$_2$O$_5$. Use only allowed where the soil pH is greater than 7.5.</td>
<td></td>
</tr>
<tr>
<td>Substance</td>
<td>Description</td>
<td></td>
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<td>-----------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>Crude potassium salt or kainit</td>
<td>Products as specified in point 1 of Annex I A.3 of Regulation (EC) No 2003/2003</td>
<td></td>
</tr>
<tr>
<td>Potassium sulphate, possibly containing magnesium salt</td>
<td>Product obtained from crude potassium salt by a physical extraction process, possibly containing magnesium salts.</td>
<td></td>
</tr>
<tr>
<td>Stillage and stillage extract</td>
<td>Ammonium stillage excluded.</td>
<td></td>
</tr>
<tr>
<td>Calcium carbonate</td>
<td>Only of natural origin, for example chalk, marl, ground limestone, Breton ameliorant, phosphate chalk.</td>
<td></td>
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<tr>
<td>Mollusc waste</td>
<td>Only from sustainable fisheries, as defined in Article 4 (1) (7) of Regulation (EU) No 1380/2013 or organic aquaculture</td>
<td></td>
</tr>
<tr>
<td><strong>Guidance</strong></td>
<td>You should also comply with Animal By-Product Regulations, for example in the UK.</td>
<td></td>
</tr>
<tr>
<td>Egg shells</td>
<td>Must not be of factory farming origin.</td>
<td></td>
</tr>
<tr>
<td><strong>Guidance</strong></td>
<td>You should also comply with Animal By-Product Regulations, for example in the UK.</td>
<td></td>
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<tr>
<td>Magnesium and calcium carbonate</td>
<td>Only of natural origin e.g. magnesian chalk, ground magnesium, limestone</td>
<td></td>
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<tr>
<td>Magnesium sulphate (kieserite)</td>
<td>Only of natural origin</td>
<td></td>
</tr>
<tr>
<td>Calcium sulphate (gypsum)</td>
<td>Only of natural origin Products as specified in point 1 of Annex I D of Regulation (EC) No 2003/2003</td>
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<tr>
<td>Industrial lime from sugar production</td>
<td>Only as a by-product of sugar production from sugar beet or sugar cane, or vacuum salt production from brine found in mountains.</td>
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<tr>
<td>Trace elements</td>
<td>Products as specified in Annex ID.3 of Regulation 2003/2003</td>
<td></td>
</tr>
<tr>
<td>Substance</td>
<td>Source</td>
<td>Regulation</td>
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<tr>
<td>------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Sodium chloride</td>
<td>Only sea and rock salt.</td>
<td>regulation (EC) No 2003/2003</td>
</tr>
<tr>
<td>Stone meal and clays</td>
<td>For example, ground basalt, bentonite, perlite and vermiculite.</td>
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<tr>
<td>Leonardite (Raw organic sediment rich in</td>
<td>Only if obtained as a by-product of mining activities</td>
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<td>humic acids)</td>
<td></td>
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<tr>
<td>Organic rich sediment from fresh water bodies</td>
<td>Only organic sediments that are by-products of fresh water body</td>
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<td>formed under exclusion of oxygen (e.g. sapropel)</td>
<td>management or extracted from former freshwater areas. When applicable,</td>
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<td></td>
<td>extraction should be done in a way to cause minimal impact on the</td>
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<td></td>
<td>aquatic system. Only sediments derived from sources free from</td>
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<td></td>
<td>contaminations of pesticides, persistent organic pollutants and</td>
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<td>petrol-like substances. Maximum concentrations in mg/kg of dry matter</td>
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<td></td>
<td>must not exceed: cadmium: 0.7; copper: 70; nickel: 25; lead: 45; zinc:</td>
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<td></td>
<td>200; mercury: 0.4; chromium (total): 70; chromium (VI): not detectable</td>
<td></td>
</tr>
<tr>
<td>Xylite</td>
<td>Only if obtained as a by-product of mining activities (e.g. by-product</td>
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<td></td>
<td>of brown coal mining).</td>
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